Universiteit Utrecht

[Faculty of **Science**
Information and Computing Sciences]

Lecture C3: Lazy Evaluation and Memoïsing functions

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Infinite Lists

Given the following code:

$$
\begin{aligned}
&\mathit{take}\ 0\ l = [\,] \\
&\mathit{take}\ n\ l = \mathit{head}\ l : \mathit{take}\ (n-1)\ (\mathit{tail}\ l) \\
&\mathit{length}\ [\,] = 0 \\
&\mathit{length}\ (\_ : l) = 1 + \mathit{length}\ l
\end{aligned}
$$

what is the result of the following session?

```
Prelude> let v = error "undefined"
Prelude> v
*** Exception: undefined
Prelude> length (take 3 v)
...
```

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```
Prelude> let v = error "undefined"
Prelude> v
*** Exception: undefined
Prelude> length (take 3 v)
...
```

It may suprise some that the answer is 3

What is going on?

We evaluate the original expression stepwise:

$$
\begin{aligned}
& \mathit{length}\ (\mathit{take}\ 3\ v) \\
& \mathit{length}\ (\mathit{head}\ v : \mathit{take}\ 2\ (\mathit{tail}\ v)) \\
& 1 + \mathit{length}\ (\mathit{take}\ 2\ (\mathit{tail}\ v)) \\
& 1 + \mathit{length}\ (\mathit{head}\ (\mathit{tail}\ v) : \mathit{take}\ 1\ (\mathit{tail}\ (\mathit{tail}\ v))) \\
& 1 + 1 + \mathit{length}\ (\mathit{take}\ 1\ (\mathit{tail}\ (\mathit{tail}\ v))) \\
& 1 + 1 + \mathit{length}\ (\mathit{head}\ (\mathit{tail}\ (\mathit{tail}\ v)) : \mathit{take}\ 0\ (\mathit{tail}\ (\mathit{tail}\ (\mathit{tail}\ v)))) \\
& 1 + 1 + 1 + \mathit{length}\ (\mathit{take}\ 0\ (\mathit{tail}\ (\mathit{tail}\ (\mathit{tail}\ v)))) \\
& 1 + 1 + 1 + \mathit{length}\ [\,] \\
& 1 + 1 + 1 + 0 \\
& 1 + 1 + 1 \\
& 1 + 2 \\
& 3
\end{aligned}
$$

What is driving the evaluation?

In the example we have seen that every expression is evaluated when it is needed in order to decide which alternative of the function *length* should be taken. We conclude:

It is pattern matching (and evaluation of conditions) which drives the evaluation!

Each expression is only evaluated when, and as far as needed to be able to decide how to proceed with the evaluation.

Why Functional programming is Easy

We have learned to appreciate that when we have automatic garbage collection we do not have to worry about when the life of a value ends!

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When using lazy evaluation we do not have to worry about when the life of a value starts!

Where lazy evaluation matters

- describing proces like structures
- recurrent relations
- combing function, e.g. by building an infinite structure and inspecting only a finite part of it.

Example: Communicating processes

Two processes which communicate:

$$
\begin{array}{l}
\textbf{let}\ pout = map\ p\ pin \\
\quad qout = map\ q\ qin \\
\quad pin = 1 : qout \\
\quad qin = pout \\
\textbf{in}\ pout
\end{array}
$$

We can build arbitray complicated nets of communication processes in this way.

Example: Eratosthenes' sieve

The famous algorithm, attributed to Eratosthenes, computes prime numbers:

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3. the smallest number still in the list is 3, so remove all multiples of 3 and remember that 3 is a prime number

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2. remove all multiples of 2, and remember that 2 is a prime number.
3. the smallest number still in the list is 3, so remove all multiples of 3 and remember that 3 is a prime number
4. the smallest remaining number is 5, so ...

Sifting

$$\boxed{removeMultiples\ n\ list = filter\ ((\not\equiv 0) \circ (`mod`n))\ list}$$

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Apply repeatedly, letting prime numbers pass:

$$sift\ (p : xs) = p : sift\ (removeMultiples\ p\ xs)$$

Sifting

$$removeMultiples\ n\ list = filter\ ((\not\equiv 0) \circ (`mod`n))\ list$$

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And now pass the list of candidates:

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And now pass the list of candidates:

$$primeNumbers = sift\ [2\,..]$$

```
Programs> take 4 primeNumbers
[2,3,5,7]
```

Hammings problem

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Generate an increasing list of values of which the prime factors are only 2, 3 and 5 ($\{2^i 3^j 5^k | i >= 0, j >= 0, k >= 0\}$).

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1. 1 is a Hamming number.

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1. 1 is a Hamming number.
2. If n is a Hamming number then also $2 * n$, $3 * n$ en $5 * n$ are Hamming numbers.

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The typical way to approach this is to start with an inductive definition:

1. 1 is a Hamming number.
2. If n is a Hamming number then also $2 * n$, $3 * n$ en $5 * n$ are Hamming numbers.
3. Purist add "And there are no other haming numbers", but for computer scientists this is obvious.

Hamming's probleem (code)

We now reason as follows:

1. Suppose that ham is the sought list, then the lists $map\ (*2)\ ham$, $map\ (*3)\ ham$, and $map\ (*5)\ ham$ also contain Hamming numbers.

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$$ham = 1 : \ldots$$

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$$
\begin{aligned}
ham = 1 : \ldots\ & (map\ (*2)\ ham) \\
& \ldots \\
& (map\ (*3)\ ham) \\
& \ldots \\
& (map\ (*5)\ ham)
\end{aligned}
$$

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$$
\begin{aligned}
ham = 1 : \ldots\ & (map\ (*2)\ ham) \\
& \quad `merge` \\
& (map\ (*3)\ ham) \\
& \quad `merge` \\
& (map\ (*5)\ ham)
\end{aligned}
$$

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1. Suppose that ham is the sought list, then the lists $map\ (*2)\ ham$, $map\ (*3)\ ham$, and $map\ (*5)\ ham$ also contain Hamming numbers.
2. If ham is montonically increasing then this hold also for these other three lists.
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$$
\begin{aligned}
ham = 1 : remdup\ ((&map\ (*2)\ ham) \\
&`merge` \\
&(map\ (*3)\ ham) \\
&`merge` \\
&(map\ (*5)\ ham) \\
)& \\
remdup\ (x : ys) = x : remdup\ (dropWhile\ (\equiv x)\ ys)&
\end{aligned}
$$

Trick question

Why doesn't the follow work:

$$
\begin{array}{ll|lcl}
remdup\ (x : y : zs) & & x \equiv y & = & remdup\ (y : zs) \\
 & & otherwise & = & x : remdup\ (y : zs)
\end{array}
$$

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\end{array}
$$

We evaluate a few steps:

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\end{array}
$$

$$
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\qquad\qquad\qquad\qquad\quad `merge` \\
\qquad\qquad\qquad\qquad\quad (map\ (*3)\ ham) \\
\qquad\qquad\qquad\qquad\quad `merge` \\
\qquad\qquad\qquad\qquad\quad (map\ (*5)\ ham) \\
\qquad\qquad\qquad\qquad)
\end{array}
$$

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$$\begin{aligned} remdup\ (x : y : zs) \mid x \equiv y &= remdup\ (y : zs) \\ \mid otherwise &= x : remdup\ (y : zs) \end{aligned}$$

$$\begin{aligned} ham = 1 : remdup\ (&(2 : map\ (*2)\ (tail\ ham)) \\ &`merge` \\ &(3 : map\ (*3)\ (tail\ ham)) \\ &`merge` \\ &(5 : map\ (*5)\ (tail\ ham)) \\ &) \end{aligned}$$

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$$
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ham = 1 : remdup\ ((2 : (map\ (*2)\ (tail\ ham)) \\
\qquad\qquad\qquad\qquad\qquad\qquad `merge` \\
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\mathit{remdup}\;(x : y : zs) & \mid x \equiv y & = \quad \mathit{remdup}\;(y : zs) \\
 & \mid \mathit{otherwise} & = x : \mathit{remdup}\;(y : zs)
\end{array}
$$

$$
\begin{array}{l}
\mathit{ham} = 1 : \mathit{remdup}\;(2 : (((2 * (\mathit{head}\;(\mathit{tail}\;\mathit{ham}) : \mathit{map}\;(*2)\;(\mathit{tail}\;(\mathit{tail} \\
\qquad\qquad\qquad\qquad \text{`}\mathit{merge}\text{`} \\
\qquad\qquad\qquad\qquad (3 : \mathit{map}\;(*3)\;(\mathit{tail}\;\mathit{ham})) \\
\qquad\qquad\qquad\quad \text{`}\mathit{merge}\text{`} \\
\qquad\qquad\qquad\quad (5 : \mathit{map}\;(*5)\;(\mathit{tail}\;\mathit{ham})) \\
\qquad\qquad\quad)
\end{array}
$$

For $\mathit{head}\;(\mathit{tail}\;\mathit{ham})$ we need the result of *remdup*!

Productiviy

Compare the two definitions of *remdup*

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remdup\ (x : y : zs) & \mid x \equiv y & = \quad remdup\ (y : zs) \\
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If we apply these definitions to the sequence $[1, <expr1>, <expr2>]$ then the fisrt definition needs the result of $<expr1>$, before it yields the 1. The second definition yields the 1 directly.

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Strictness

We say that the second definition is less strict than the first one: it both definitions return something then these values will be the same, but the second definition will evaluate a small part of its argument.

The Fibonacci sequence

Leonardo van Pisa (± 1170 – ± 1250):

$$F_n = \begin{cases} n & \text{if } n < 2, \\ F_{n-2} + F_{n-1} & \text{if } n \geqslant 2. \end{cases}$$

$$\begin{array}{lll} \mathit{fib} :: \mathit{Integer} \to \mathit{Integer} & & \\ \mathit{fib} & 0 & = 0 \\ \mathit{fib} & 1 & = 1 \\ \mathit{fib} & n & = \mathit{fib}\ (n-2) + \mathit{fib}\ (n-1) \end{array}$$

Interactive session: timing and memory usage

GHCi with `:set +s`:

```
Main >
```

Interactive session: timing and memory usage

GHCi with `:set +s`:

```
Main> fib 10
```

Interactive session: timing and memory usage

GHCi with `:set +s`:

```
Main> fib 10
55
0.02 secs, 3043752 bytes
Main>
```

Interactive session: timing and memory usage

GHCi with `:set +s`:

```
Main> fib 10
55
0.02 secs, 3043752 bytes
Main> fib 20
```

Interactive session: timing and memory usage

GHCi with `:set +s`:

```
Main> fib 10
55
0.02 secs, 3043752 bytes
Main> fib 20
6765
0.06 secs, 3133924 bytes
Main>
```

Interactive session: timing and memory usage

GHCi with `:set +s`:

```
Main> fib 10
55
0.02 secs, 3043752 bytes
Main> fib 20
6765
0.06 secs, 3133924 bytes
Main> fib 25
```

Interactive session: timing and memory usage

GHCi with `:set +s`:

```
Main> fib 10
55
0.02 secs, 3043752 bytes
Main> fib 20
6765
0.06 secs, 3133924 bytes
Main> fib 25
75025
0.63 secs, 34743476 bytes
Main>
```

Interactive session: timing and memory usage

GHCi with `:set +s`:

```
Main> fib 10
55
0.02 secs, 3043752 bytes
Main> fib 20
6765
0.06 secs, 3133924 bytes
Main> fib 25
75025
0.63 secs, 34743476 bytes
Main> fib 30
```

Interactive session: timing and memory usage

GHCi with `:set +s`:

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Main> fib 10
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Main> fib 20
6765
0.06 secs, 3133924 bytes
Main> fib 25
75025
0.63 secs, 34743476 bytes
Main> fib 30
832040
6.80 secs, 383178156 bytes
```

Interactive session: number of steps

Hugs (`http://haskell.org/hugs`):

```
Main > fib 10
55

Main > fib 20
6765

Main > fib 25
75025

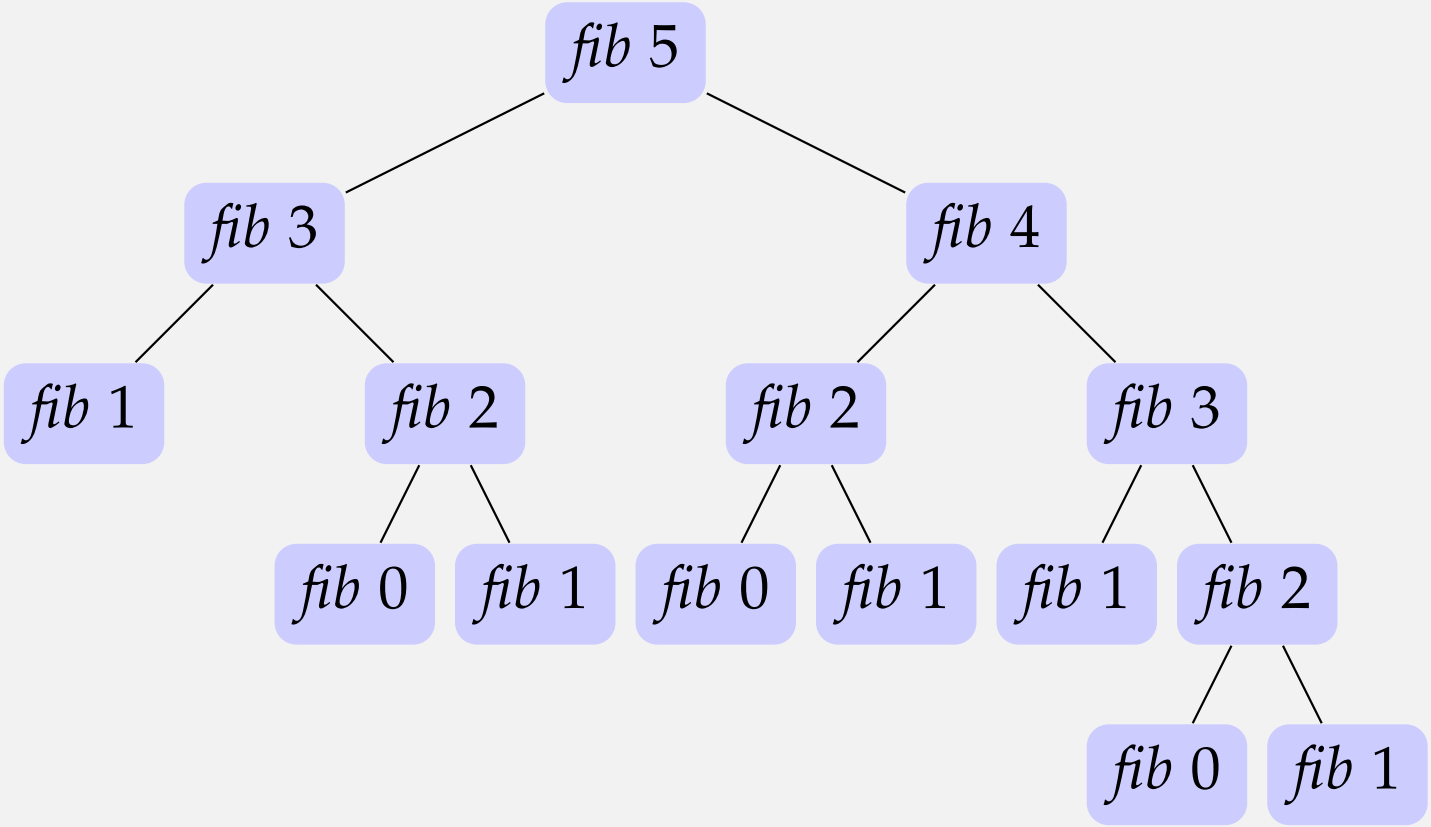
Main > fib 30
832040
```

Interactive session: number of steps

Hugs (`http://haskell.org/hugs`) with `+s`:

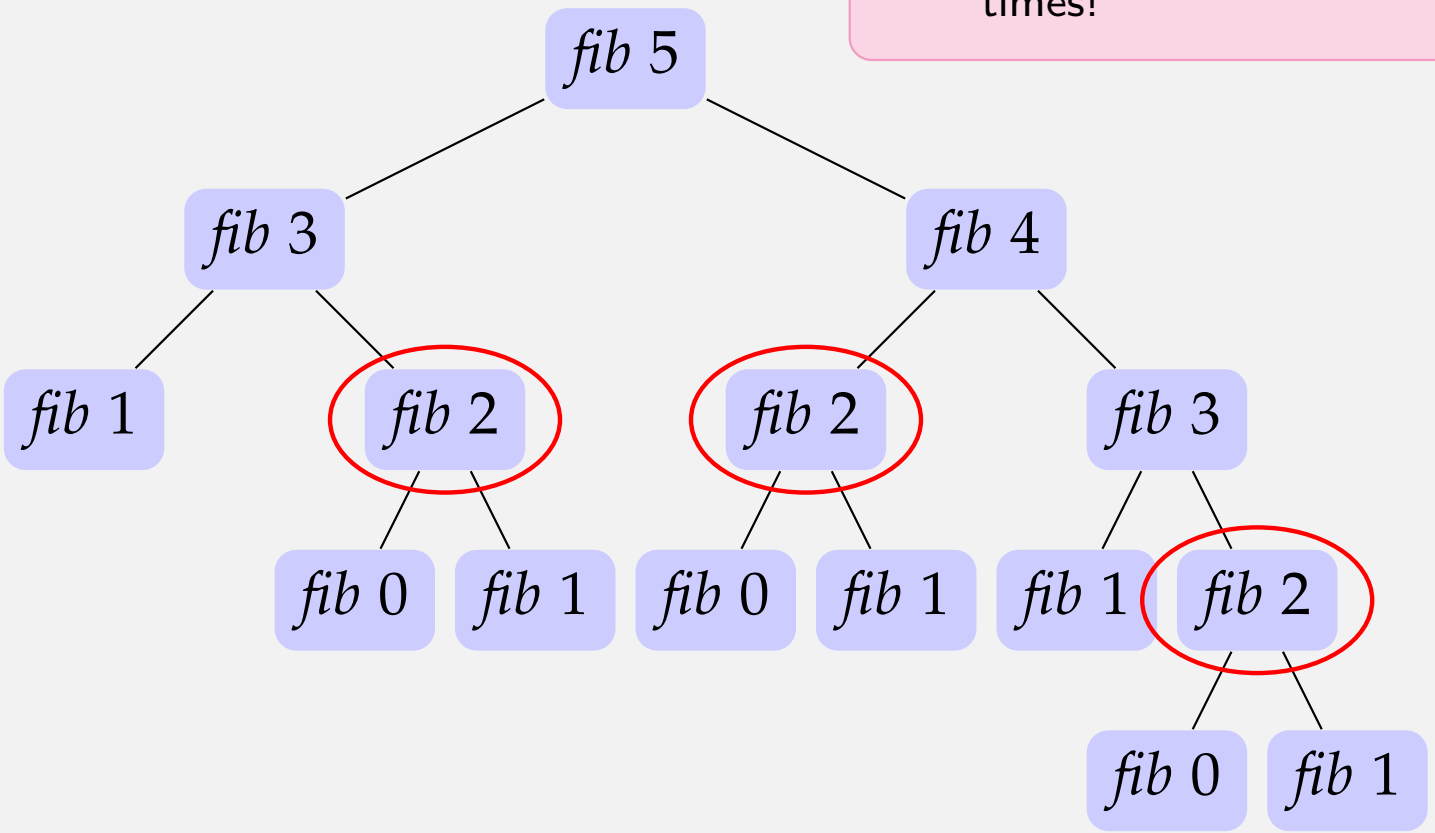
```
Main> fib 10
55
3177 reductions, 5054 cells
Main> fib 20
6765
390861 reductions, 622695 cells
Main> fib 25
75025
4334725 reductions, 6905874 cells, 6 garbage collections
Main> fib 30
832040
48072847 reductions, 76587387 cells, 77 garbage collections
```

Call Tree


fib 5
fib 3
fib 4
fib 1
fib 2
fib 2
fib 3
fib 0
fib 1
fib 0
fib 1
fib 1
fib 2
fib 0
fib 1

Call Tree

- *fib* 2 is computed three times!



Number of recursive calls

We show the number of recursive calls for *fib* n:

value of n	number of *fib* calls
5	15
10	177
15	1973
20	21891
25	242785
30	2692537

Local memoïsation

Idea: ‘remember’ the results of the function calls for a sequence of arguments.

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```
fib :: Integer → Integer
fib  n        = fibs ! n
  where
    fibs = listArray (0,n) $
      0 : 1 : [fibs ! (k − 2) + fibs ! (k − 1) | k ← [2 . . n]]
```

Local memoïsation

Idea: 'remember' the results of the function calls for a sequence of arguments.

$$
\begin{array}{l}
fib :: Integer \to Integer \\
fib \quad n \qquad\quad = fibs\,!\,n \\
\quad \textbf{where} \\
\qquad fibs = listArray\ (0, n)\ \$ \\
\qquad\quad 0 : 1 : [fibs\,!\,(k-2) + fibs\,!\,(k-1) \mid k \leftarrow [2\,..\,n]]
\end{array}
$$

☞ For each call of *fib* we construct a completely new array *fibs*.

Global memoïsation

The global memo function

- also remembers the results of previous calls directly from the program,
- remembers the result for all all arguments ever passed.

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- also remembers the results of previous calls directly from the program,
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Goal: to construct a library which makes it easy to build a memoïsing version of a function which takes an *Integer* parameter.

Fixed-point Combinator

The fixed point of a function f is the value x, for which $f\ x = x$ holds.

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A fixpoint combinator is a higher-order function which 'computes' the fixpoint of other functions:

$$
\begin{array}{l}
fix :: (a \to a) \to a \\
fix \quad f = f\ (fix\ f)
\end{array}
$$

Explicit recursion

Using fix we can make the use of recursion explicit:

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Example:

$$
\begin{array}{lll}
fac :: & Integer \rightarrow Integer & \\
fac & 0 & = 1 \\
fac & n & = n * fac\ (n-1)
\end{array}
$$

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can, using *fix*, be written as:

$$
\begin{array}{l}
fac\ ::\ Integer \rightarrow Integer \\
fac = fix\ fac' \\
\quad \textbf{where} \\
\qquad fac'\ f\ 0 = 1 \\
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Idea: introduce an extra parameter which is used in the recursive calls:

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\begin{array}{l}
fac\ ::\ Integer \rightarrow Integer \\
fac = fix\ fac' \\
\quad \textbf{where} \\
\qquad fac'\ f\ 0 = 1 \\
\qquad fac'\ f\ n = n * f\ (n-1)
\end{array}
$$

☞ $fac' :: (Integer \rightarrow Integer) \rightarrow (Integer \rightarrow Integer)$.

Explicit recursion: example

$$
\begin{aligned}
& fac\ 3 \\
= \quad & \\
& fix\ fac'\ 3 \\
= \quad & \\
& fac'\ (fix\ fac')\ 3 \\
= \quad & \\
& 3 * fix\ fac'\ (3-1) \\
= \quad & \\
& 3 * fix\ fac'\ 2 \\
= \quad & \\
& 3 * fac'\ (fix\ fac')\ 2 \\
= \quad & \\
& 3 * (2 * fix\ fac'\ (2-1)) \\
= \quad & \\
& 3 * (2 * fix\ fac'\ 1)
\end{aligned}
$$

$$
\begin{aligned}
& 6 \\
= \quad & \\
& 3 * 2 \\
= \quad & \\
& 3 * (2 * 1) \\
= \quad & \\
& 3 * (2 * (1 * 1)) \\
= \quad & \\
& 3 * (2 * (1 * fix\ fac'\ 0)) \\
= \quad & \\
& 3 * (2 * (1 * fix\ fac'\ (1-1))) \\
= \quad & \\
& 3 * (2 * fac'\ (fix\ fac')\ 1) \\
= \quad & \\
& 3 * (2 * fix\ fac'\ 1)
\end{aligned}
$$

Fibonacci again

Fibonacci function with explicit recursion:

$$
\begin{aligned}
&\mathit{fib} :: \mathit{Integer} \rightarrow \mathit{Integer} \\
&\mathit{fib} = \mathit{fix}\ \mathit{fib}' \\
&\quad \textbf{where} \\
&\qquad \mathit{fib}'\ f\ 0 = 0 \\
&\qquad \mathit{fib}'\ f\ 1 = 1 \\
&\qquad \mathit{fib}'\ f\ n = f\ (n-2) + f\ (n-1)
\end{aligned}
$$

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\begin{array}{l}
\mathit{fib} :: \mathit{Integer} \rightarrow \mathit{Integer} \\
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\qquad \mathit{fib}'\ f\ n = f\ (n-2) + f\ (n-1)
\end{array}
$$

Idea: replace *fix* by a memoïsing fixpoint combinator

Library for memofunctions: plan of attack

Choose a (parameterised) datatype *Memo* for the memo tables.

Library for memofunctions: plan of attack

Choose a (parameterised) datatype *Memo* for the memo tables.

Define functions *tabulate* en *apply*,

$$\begin{array}{ll} \mathit{tabulate} & :: (\mathit{Integer} \rightarrow a) \rightarrow \mathit{Memo}\ a \\ \mathit{apply} & :: \mathit{Memo}\ a \rightarrow \mathit{Integer} \rightarrow a \end{array}$$

such that:

- *tabulate* f results in a (lazily constructed) memo table caniating all results of calls to f and
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Library for memofunctions: plan of attack

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Define a fixedpoint combinator *memo* using *tabulate* and *apply*.

Memo lists

In our first approach we will represent memo tables using infinite lists:

$$\textbf{type}\ \mathit{Memo}\ a = [a]$$

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tabulate :: (Integer→a)→Memo a
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tabulate :: (Integer→a)→Memo a
tabulate   f = map f [0 ..]
```

```
apply :: Memo a→Integer→a
apply   (x : _)  0 = x
apply   (_ : xs) n = apply xs (n − 1)
```

Memo combinator

```
memo :: ((Integer→a)→Integer→a)→Integer→a
memo  f' = f
  where
    f = apply (tabulate (f' f))
```

Memo combinator

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\begin{array}{l}
memo :: ((Integer \rightarrow a) \rightarrow Integer \rightarrow a) \rightarrow Integer \rightarrow a \\
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- Recursieve calls use the memo function f.
- Thanks to lazy evaluation only those elements in the list are computed which are really used in constructing the resulting value
- The tableis does not depend on the parameter of f; calls to f share the table which is persistent during the evaluation of the program.

Fibonacci sequence using memo lists

Fibonacci function using global memoïsation:

```
fib :: Integer→Integer
fib = memo fib'
  where
    fib' f 0 = 0
    fib' f 1 = 1
    fib' f n = f (n − 2) + f (n − 1)
```

Memo lists: number of reductions

```
Main > fib 10
55
1450 reductions, 2316 cells
Main > fib 20
6765
5060 reductions, 8178 cells
Main > fib 25
75025
7690 reductions, 12463 cells
Main > fib 30
832040
10870 reductions, 17649 cells
```

Memo lists: subsequent calls

```
Main >
```

Memo lists: subsequent calls

```
Main > fib 30
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Main > fib 30
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In the second call all we have to do is to look up the result in the table.

Memo lists: lineair search time

- Arrays: fixed number of possible argument, but constant lookup time.
- Lists: no restriction on number of arguments, but lineair lookup time.

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Main > fib 5000
387896845438832563370191630832590531208212771 4...
41.78 secs, 2532516300 bytes
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Main > fib 5000
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41.78 secs, 2532516300 bytes
```

Golden middle road: memo trees (all arguments, logaritmic lookup time).

Library for memo functions: plan of attack (unchanged)

Choose a (parameterised) data type *Memo* for memo tables.

Define functions *tabulate* and *apply*,

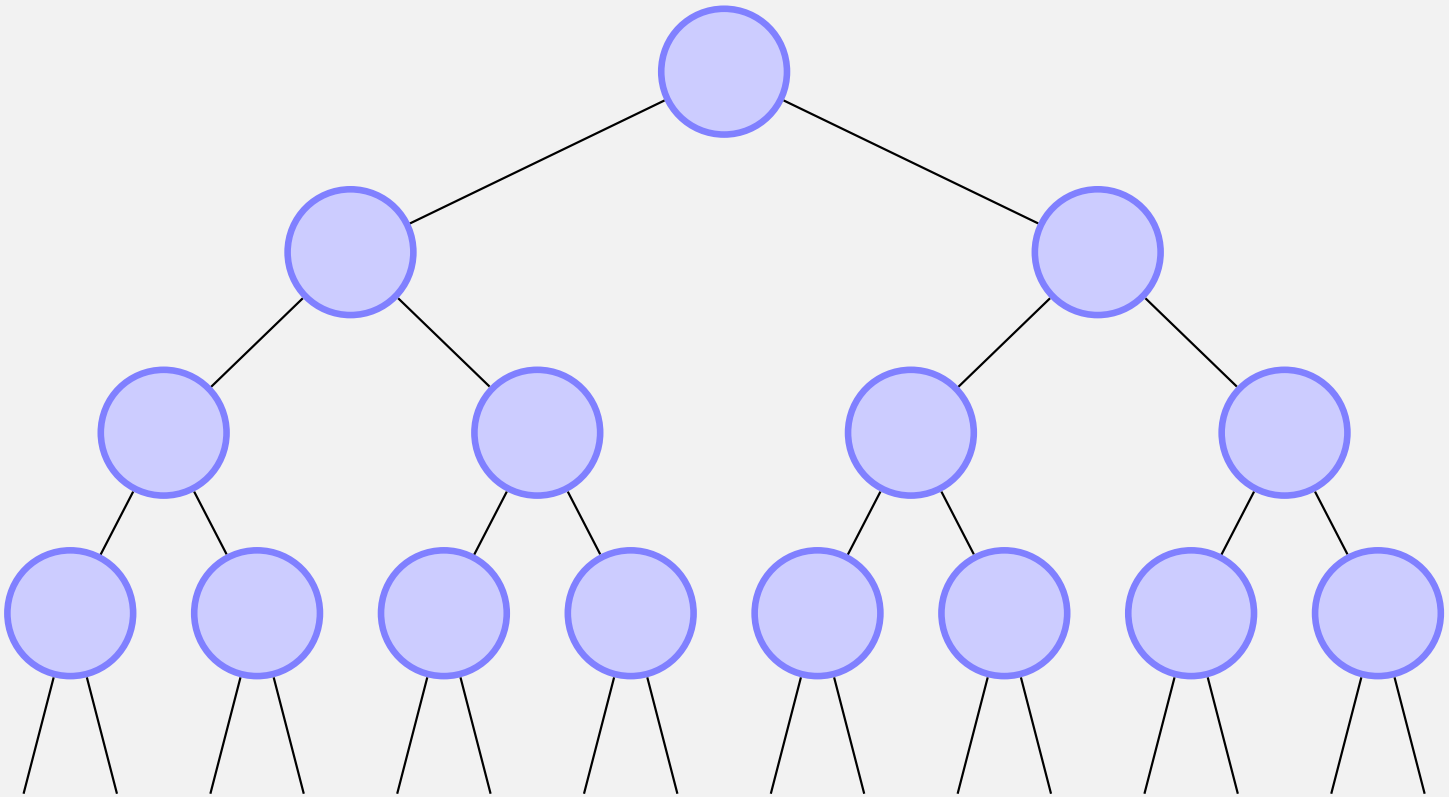
$$\begin{array}{ll} tabulate & :: (Integer \rightarrow a) \rightarrow Memo\ a \\ apply & :: Memo\ a \rightarrow Integer \rightarrow a \end{array}$$

such that:

- *tabulate* f a (lazy) memo tabel containing the results of all possible calls to f
- *apply mem n* which locates the result for n in *mem*.

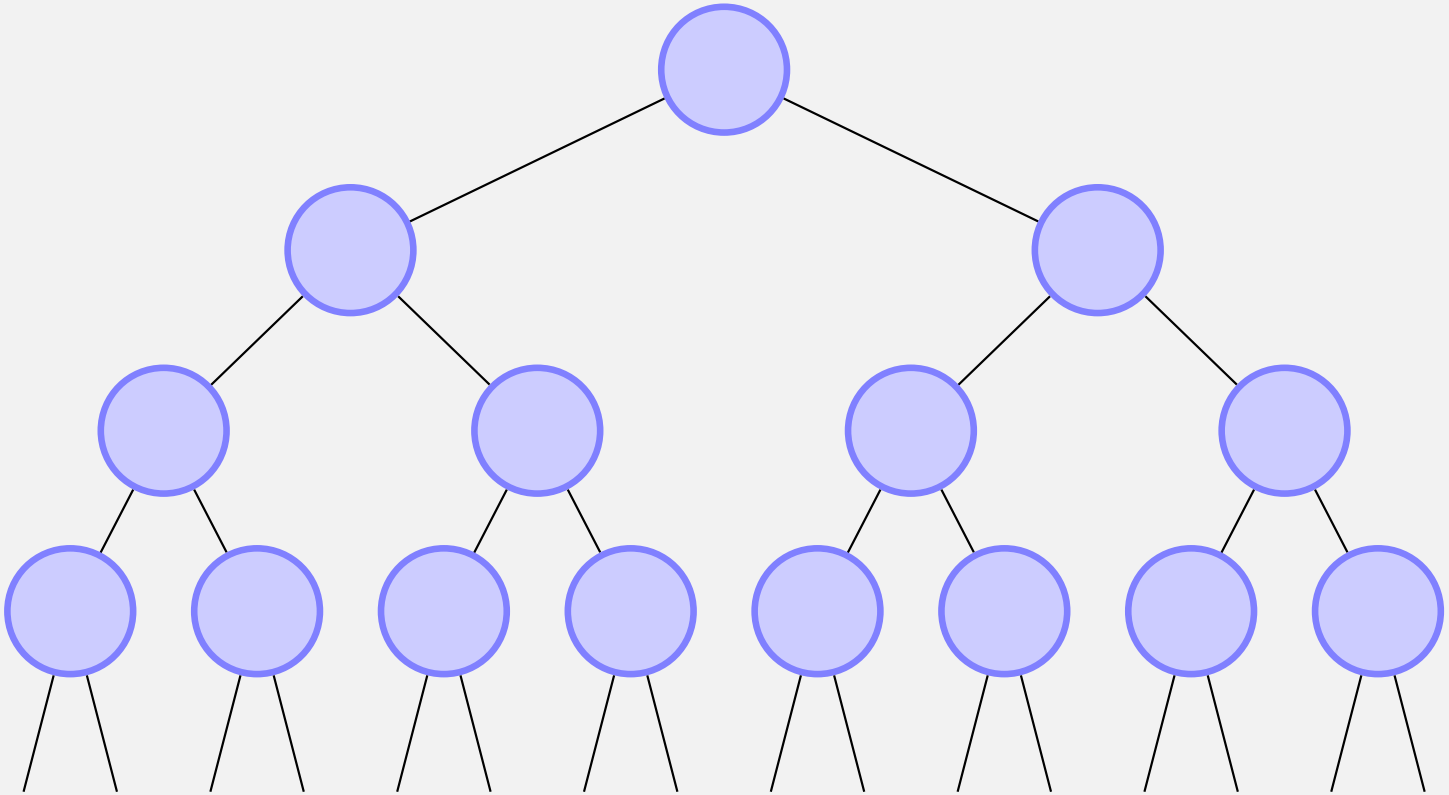
Define a fixedpoint *memo* using *tabulate* en *apply*.

Memo trees



Memo trees

- Infinite binary tree with values in the nodes.
- No value in left children.



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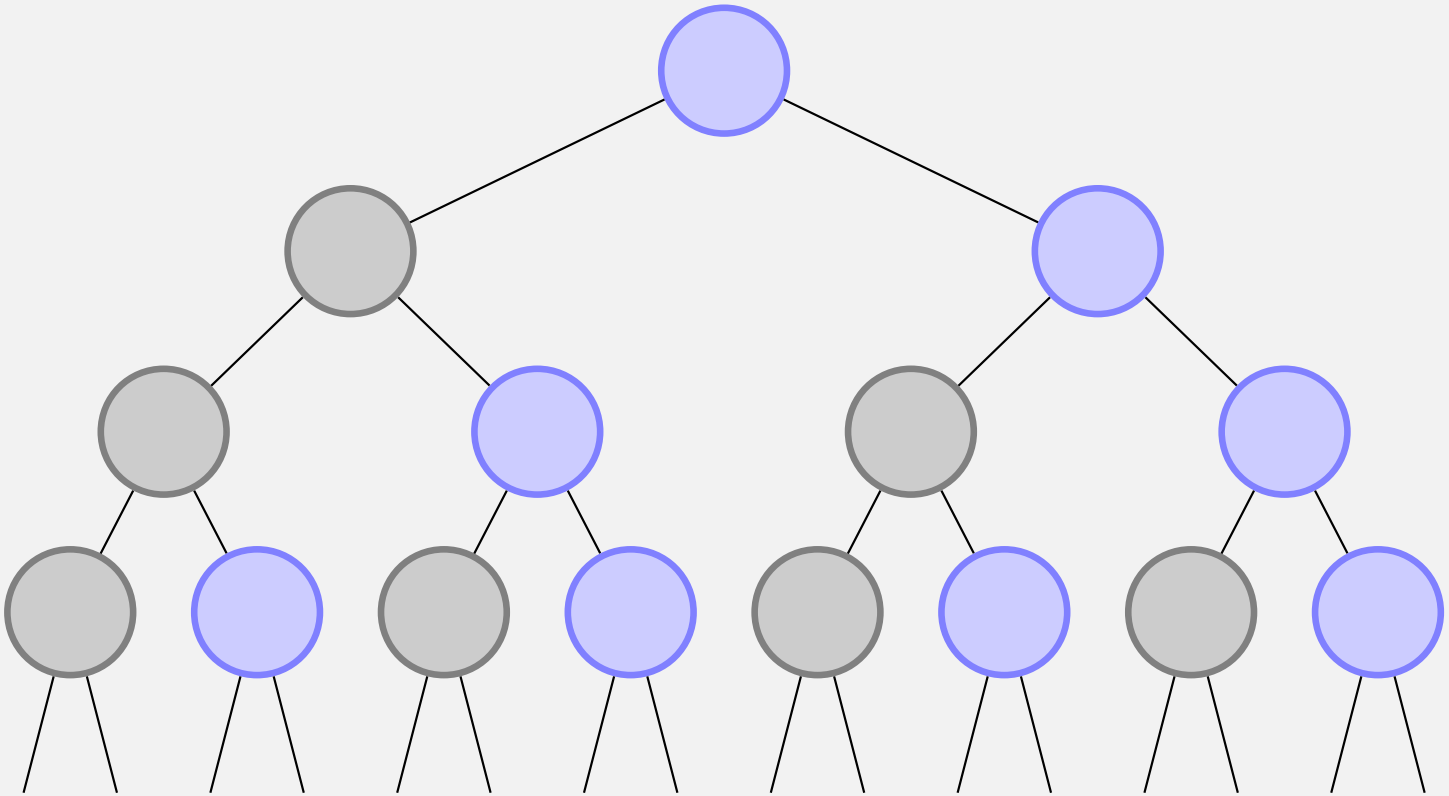

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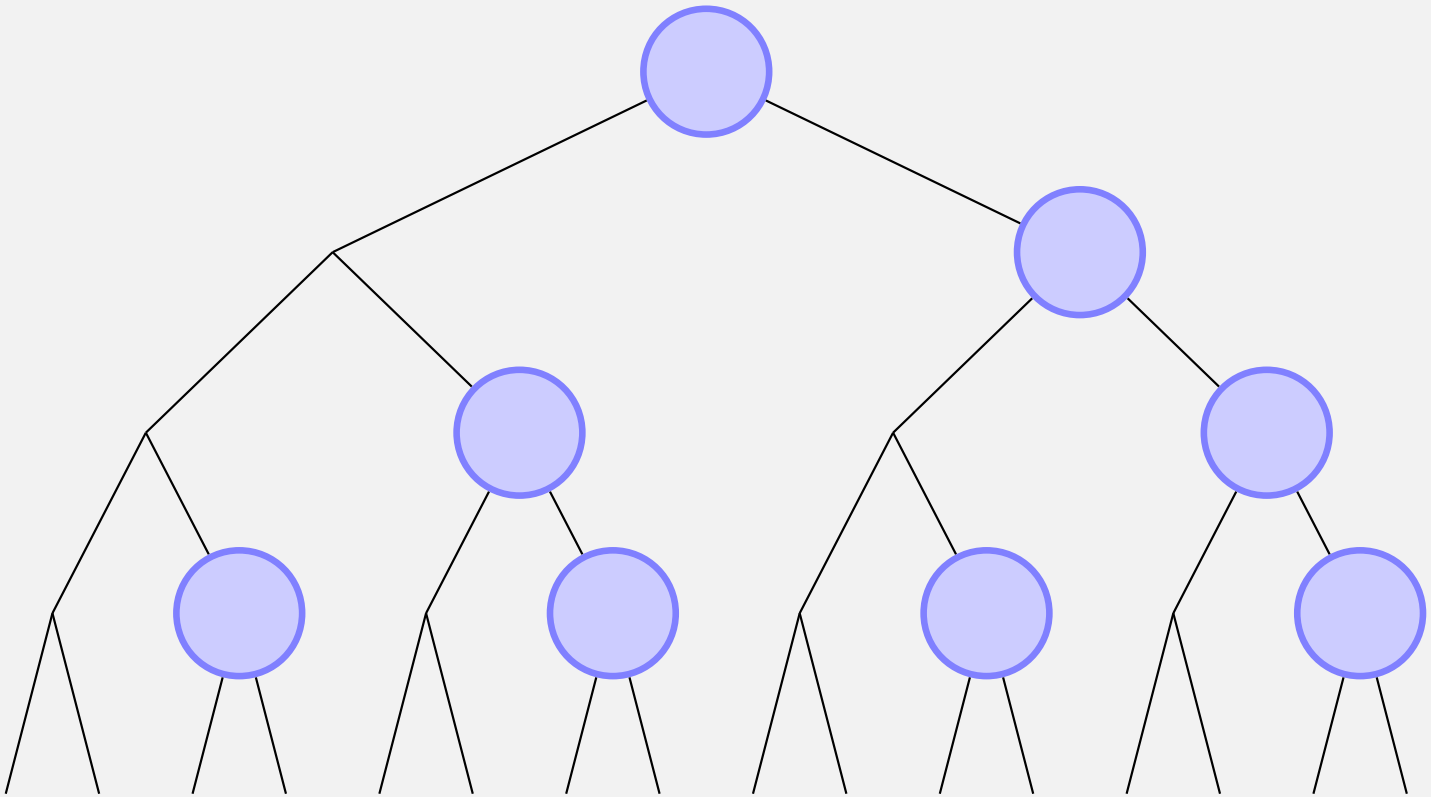
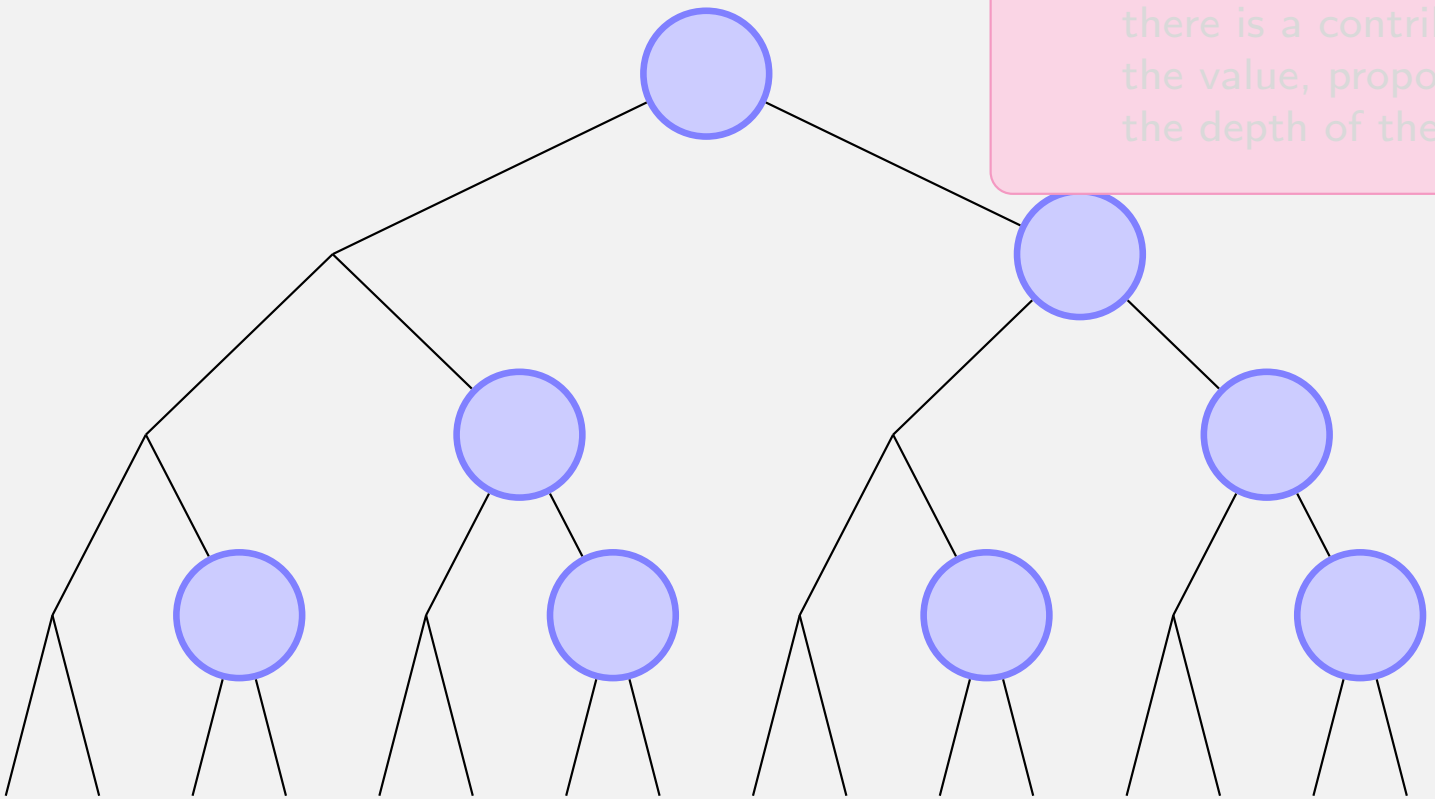


Memo trees

- The search key for a right child is determined by the edges going right in the path from the root .
- Each time we go right there is a contribution to the value, proportional to the depth of the tree.



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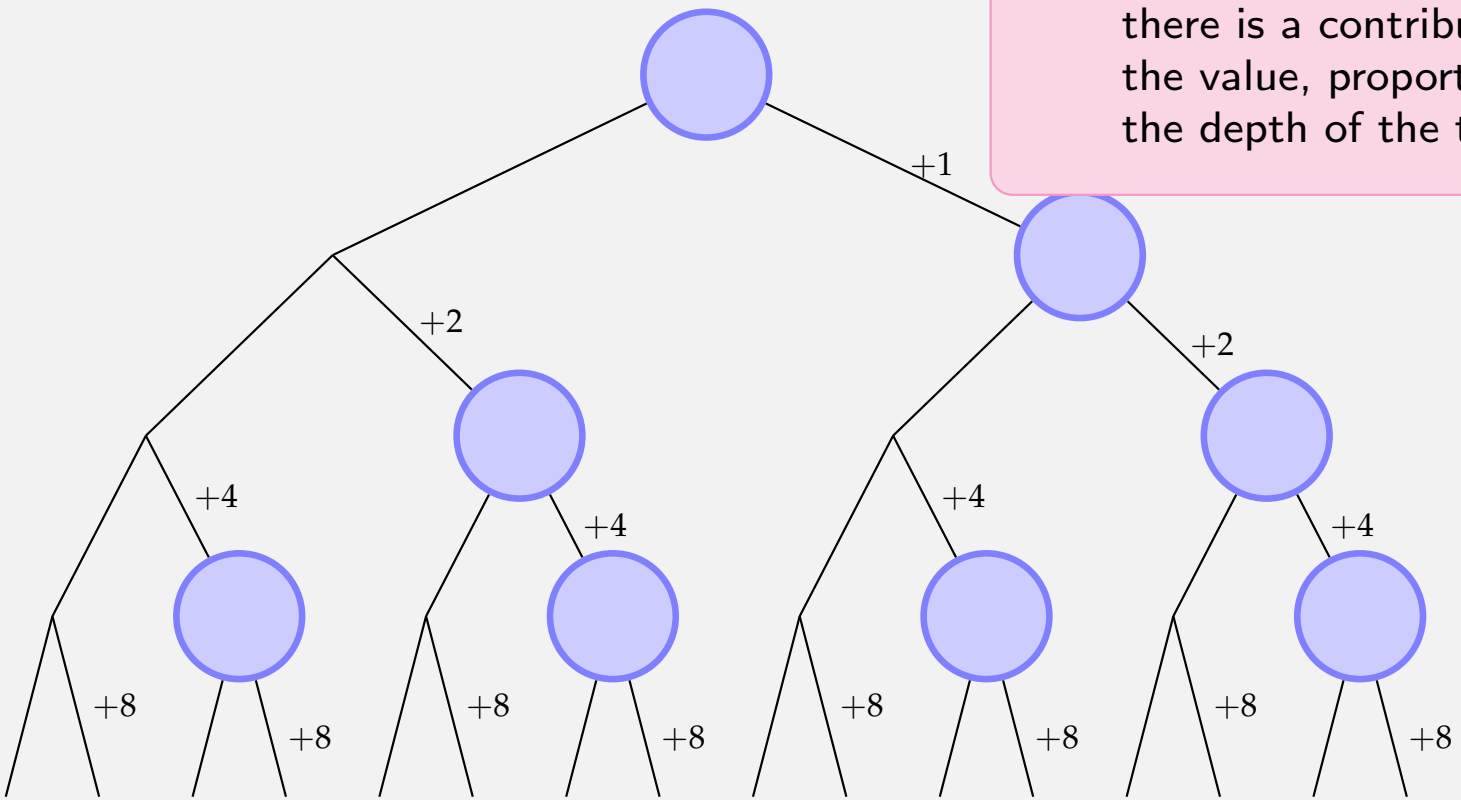

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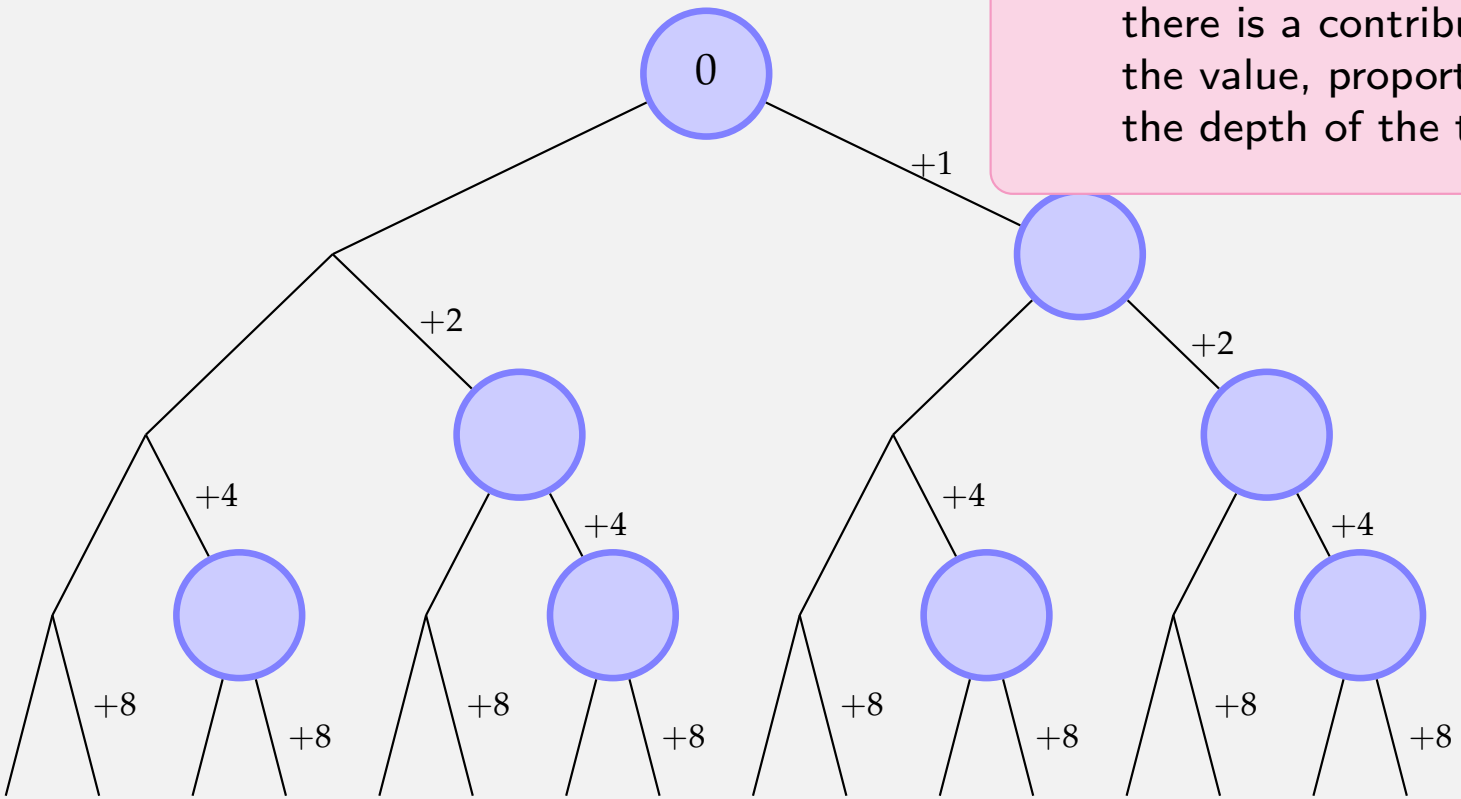

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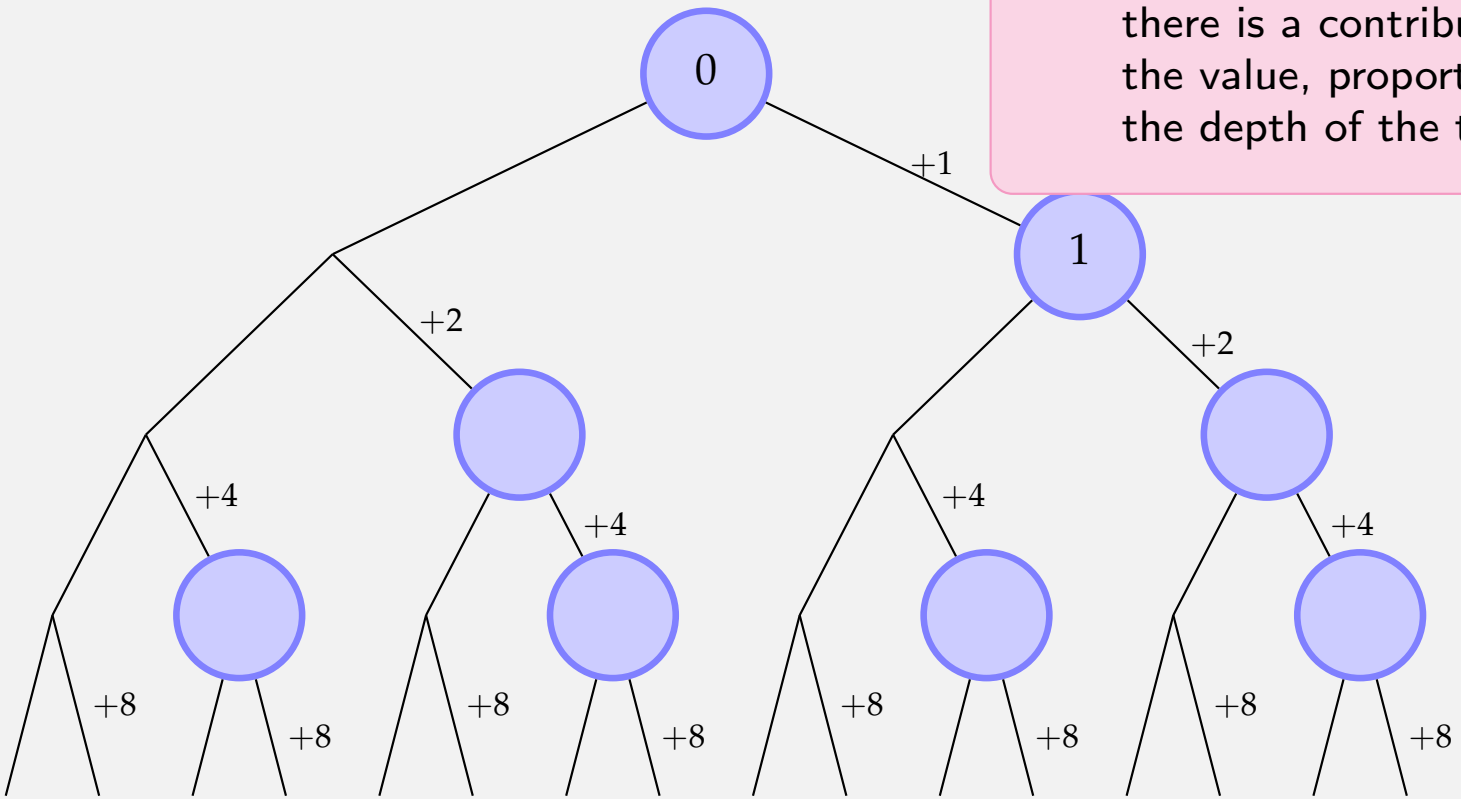

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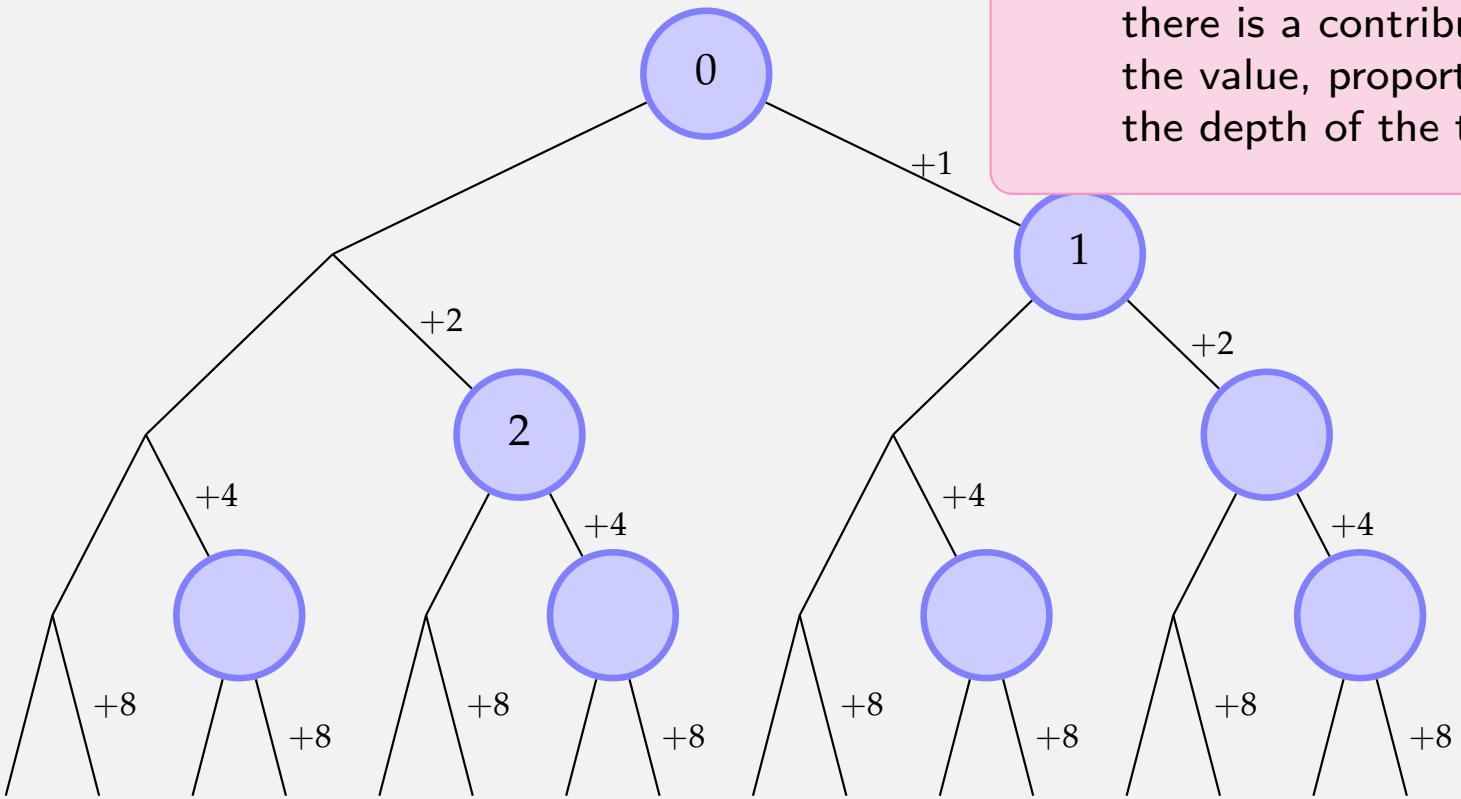

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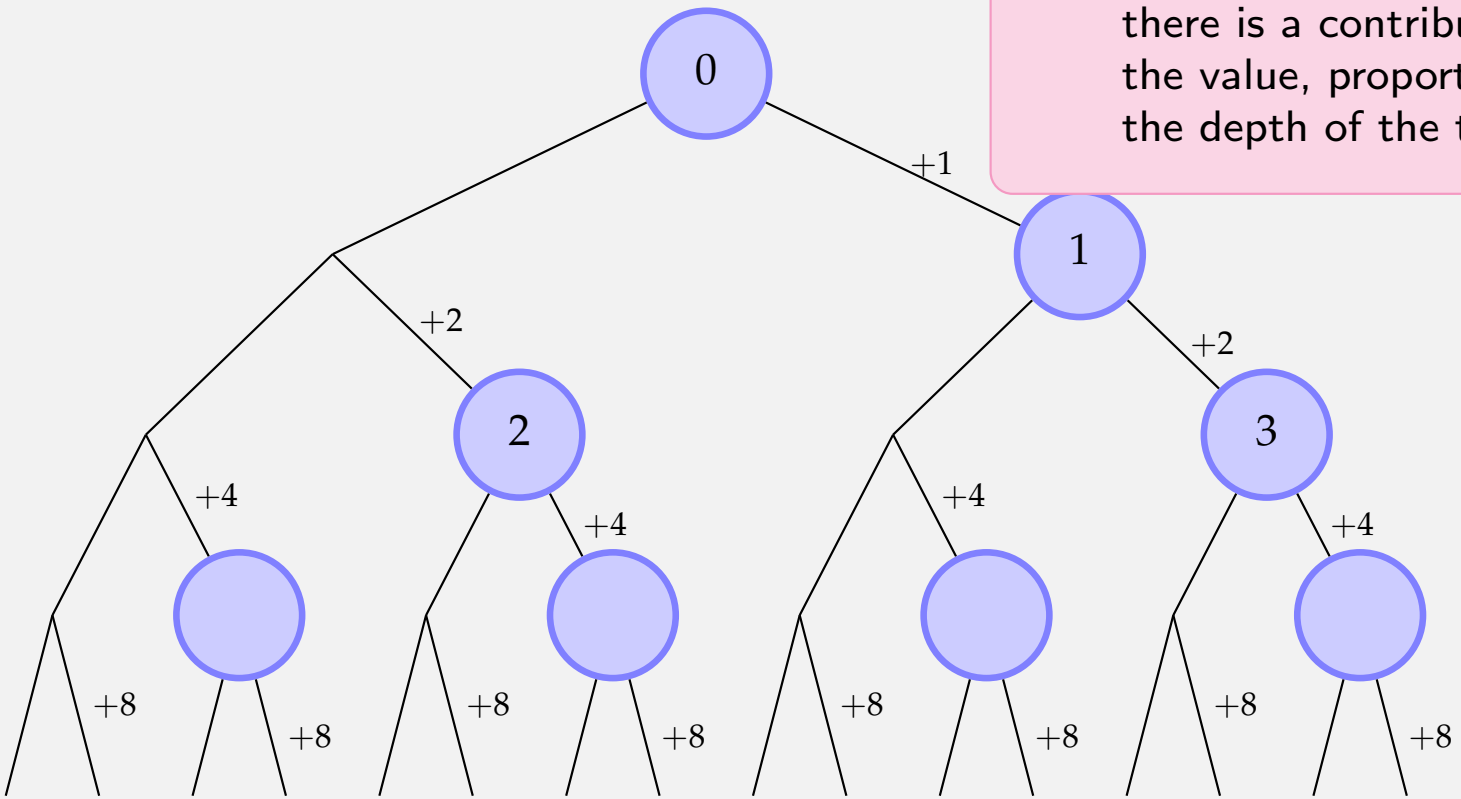

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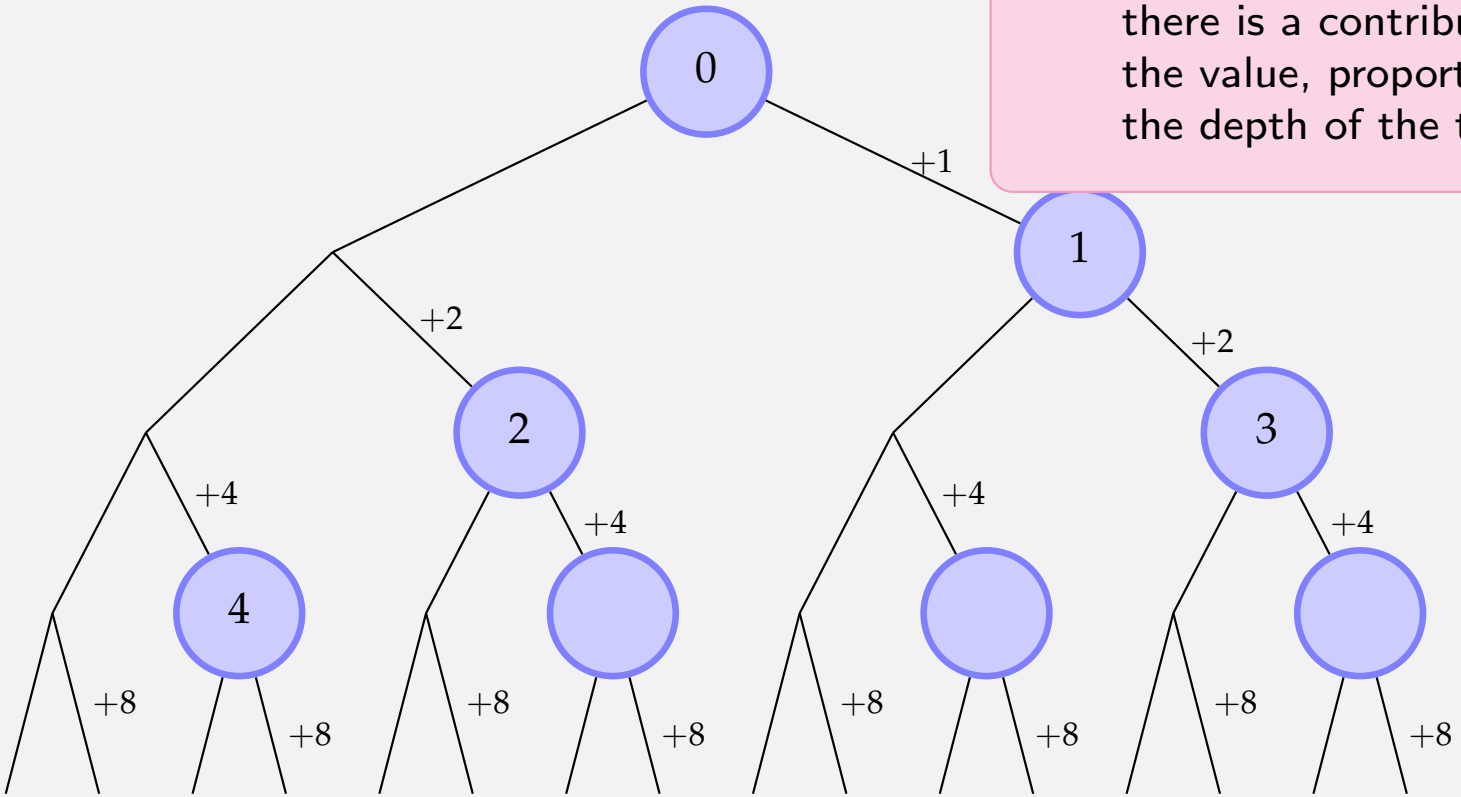

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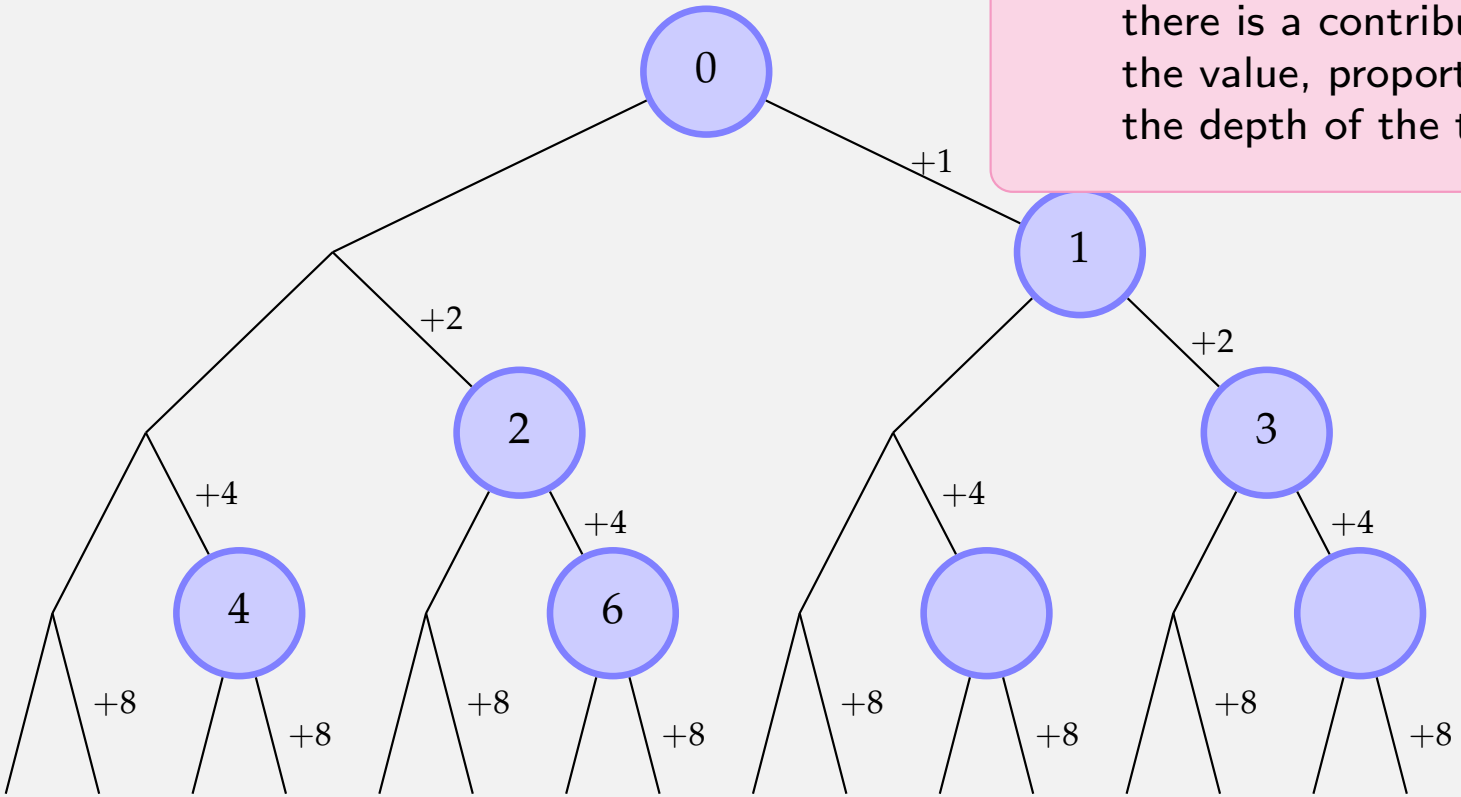

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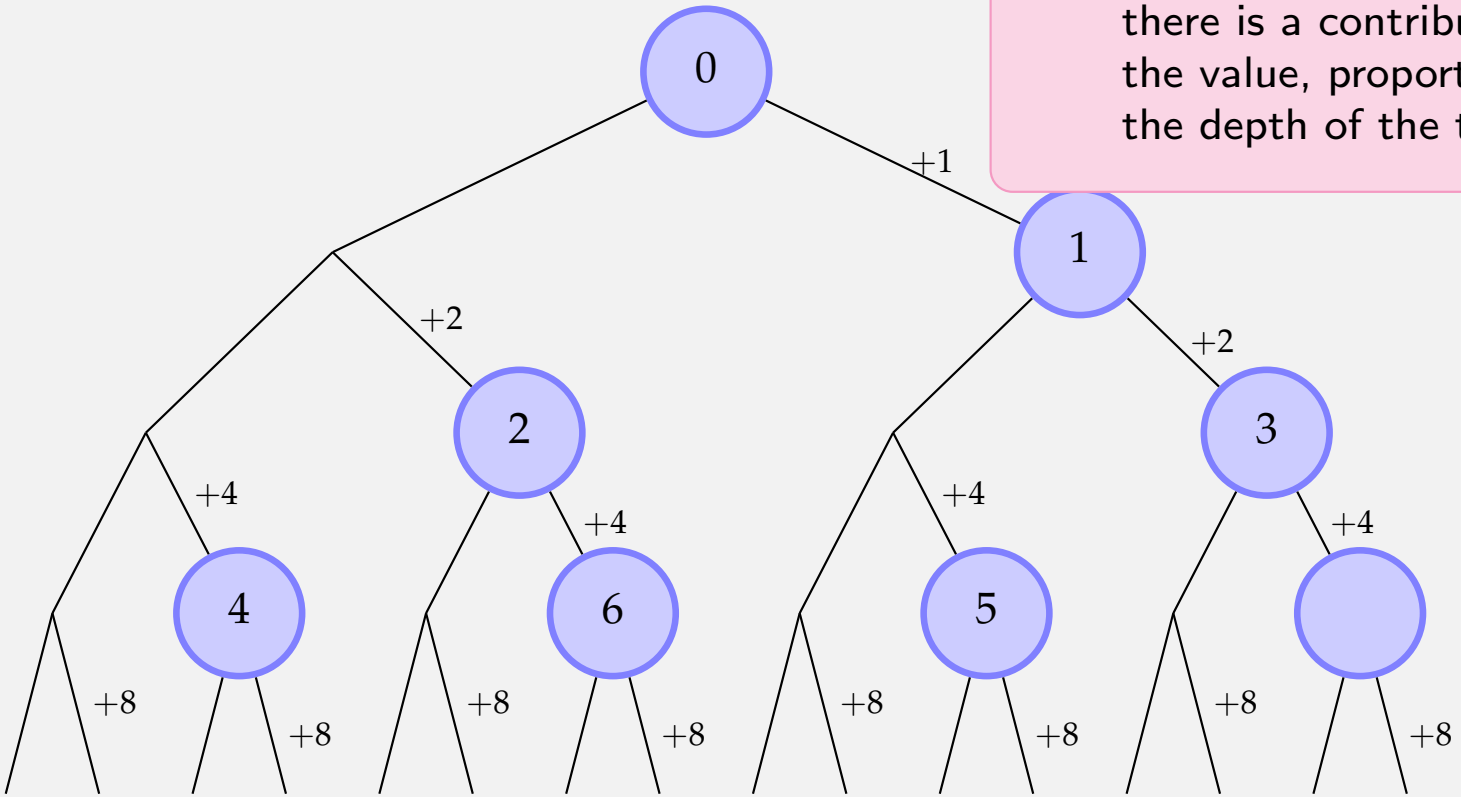

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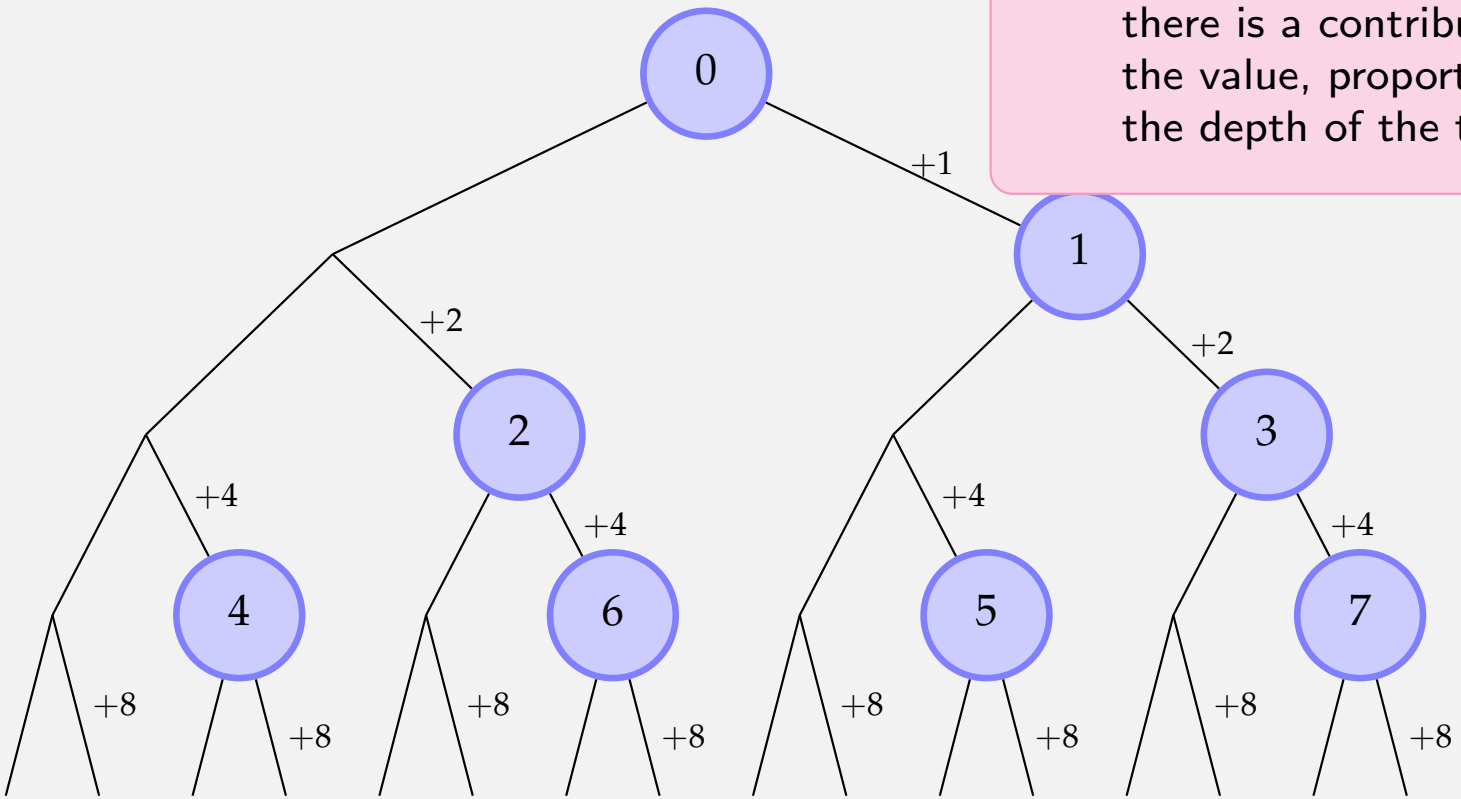
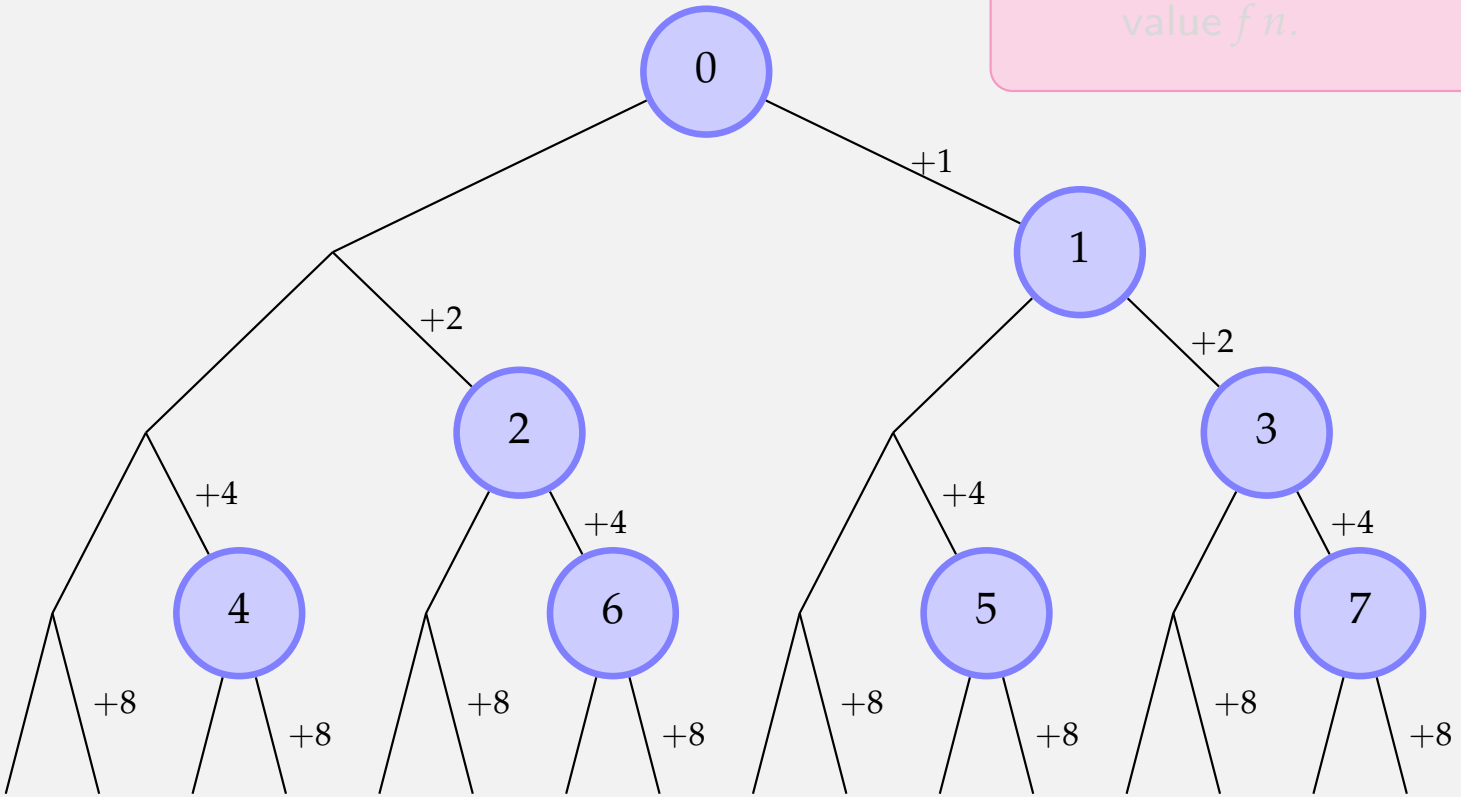


Memo trees

- In the nodes we store the values for the function f which is to be memoïsed.
- In a right child with weight n we store the value $f\ n$.



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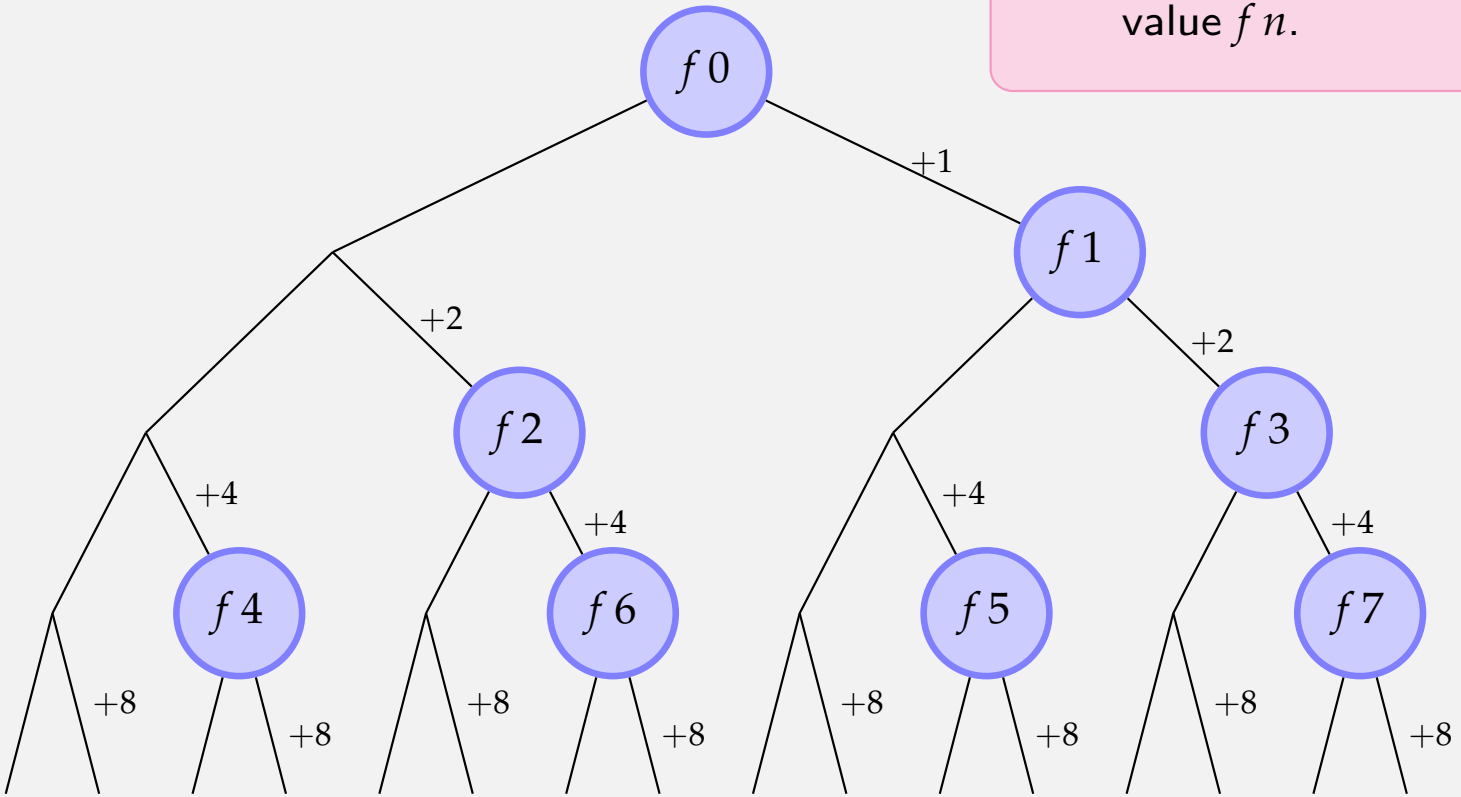
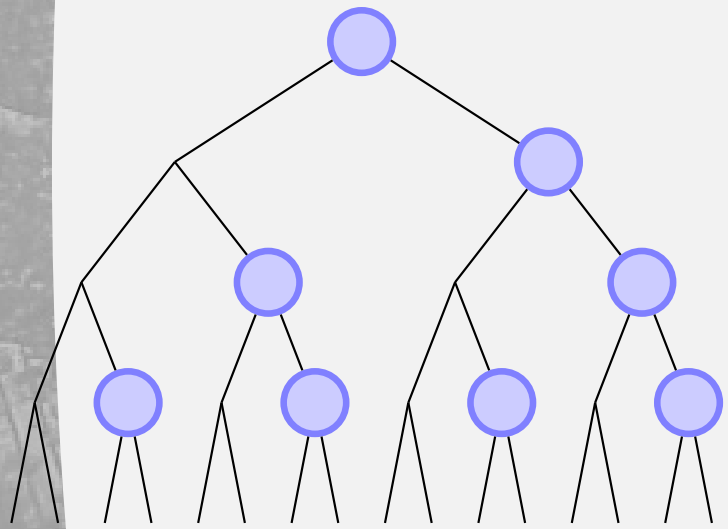


Data type for memo trees



Type of an infinite binaire tree with values in the root and in each right child.

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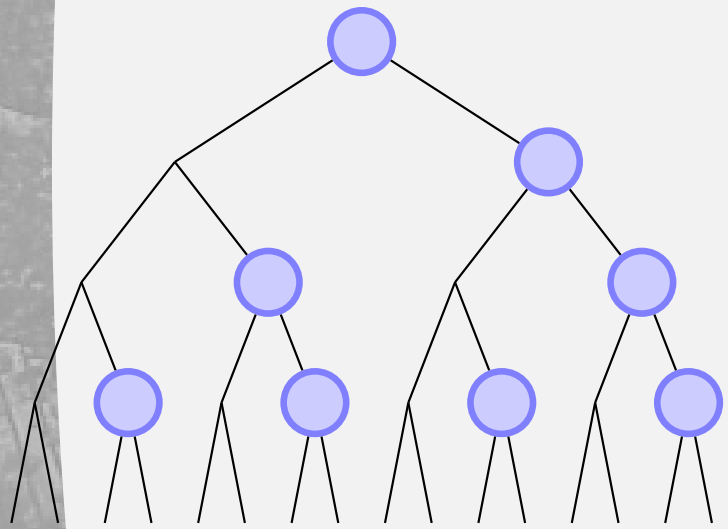

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$$\begin{array}{l} \textbf{data}\ Memo\ \ a = Memo\ \ (Memo'\ a)\ a\ (Memo\ a) \\ \textbf{data}\ Memo'\ a = Memo'\ (Memo'\ a)\ (Memo\ a) \end{array}$$

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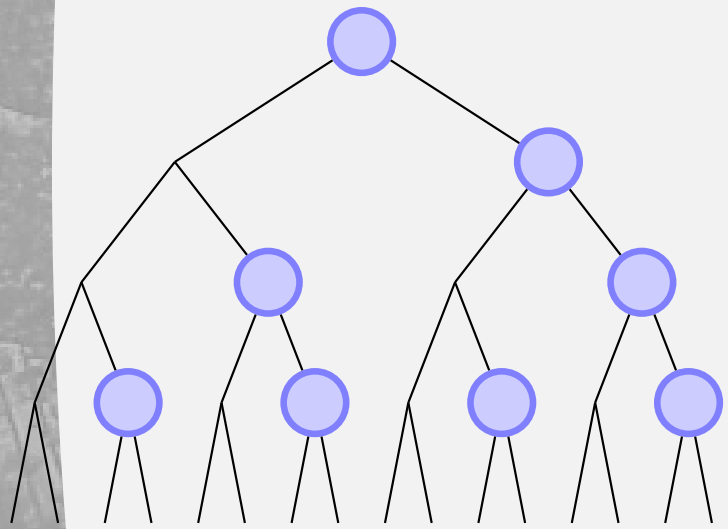

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☞ $Memo$ en $Memo'$ are defined mutually recursive.

Construction of the memo tree

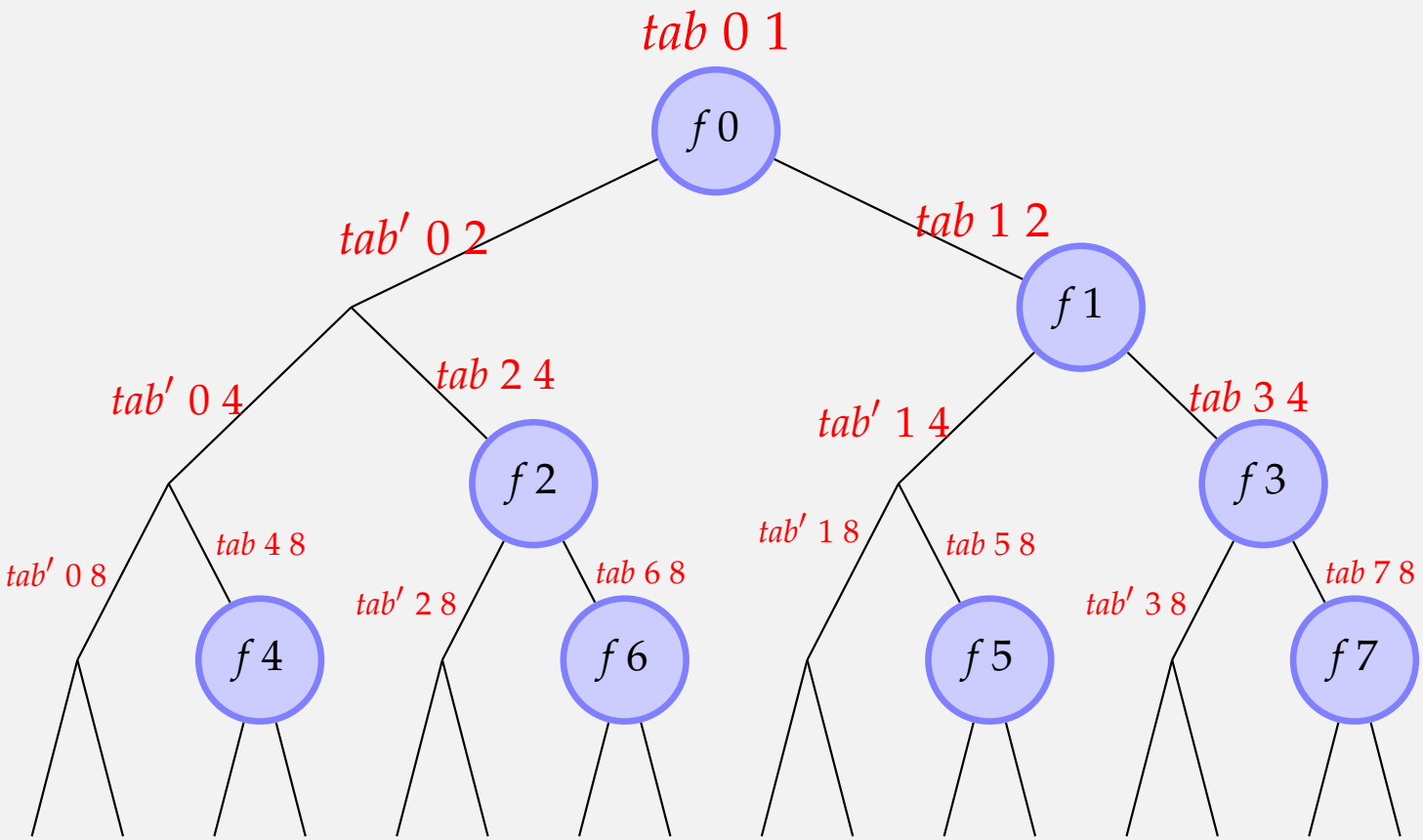
```
tabulate :: (Integer→a)→Memo a
tabulate  f = tab 0 1
  where
    tab k i =
      let j = 2 * i in Memo (tab' k j) (f k) (tab (k + i) j)
    tab' k i =
      let j = 2 * i in Memo' (tab' k j) (tab (k + i) j)
```

Arguments of helper function:

- For *tab*: the next search key and the next weight (i.e. the increase of the search key).
- For *tab'*: last searck key and again the increase in weigth at this level.

Memo tree construction: example

tabulate f



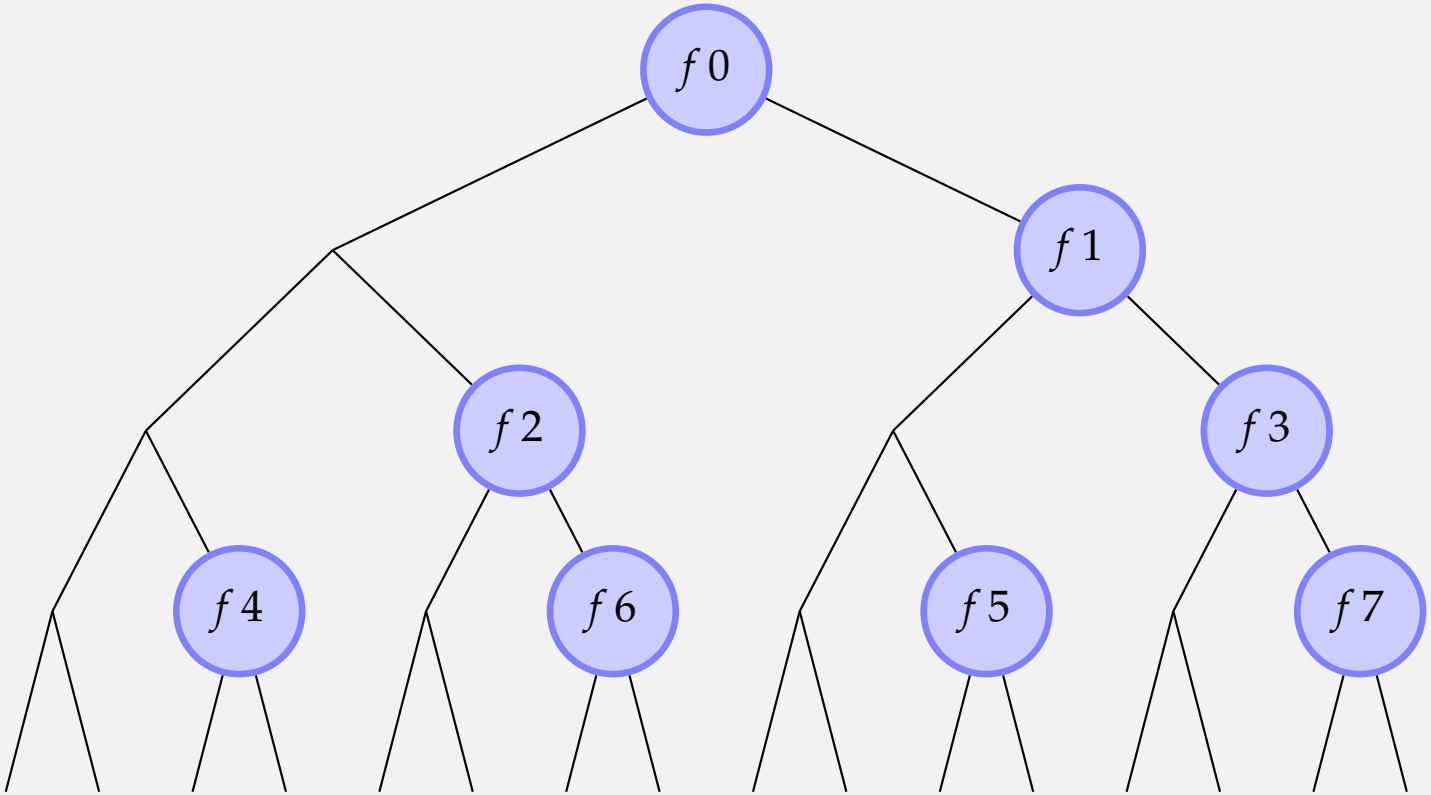
Searching in a memo tree

```
apply :: Memo a→Integer→a
apply = app
  where
    app (Memo l x r) n | n ≡ 0      = x
                       | even n     = app' l (n `div` 2)
                       | otherwise  = app r (n `div` 2)
    app' (Memo' l r) n | even n     = app' l (n `div` 2)
                       | otherwise  = app r (n `div` 2)
```

In each recursive step the search key is halved and we decrease one level in the tree

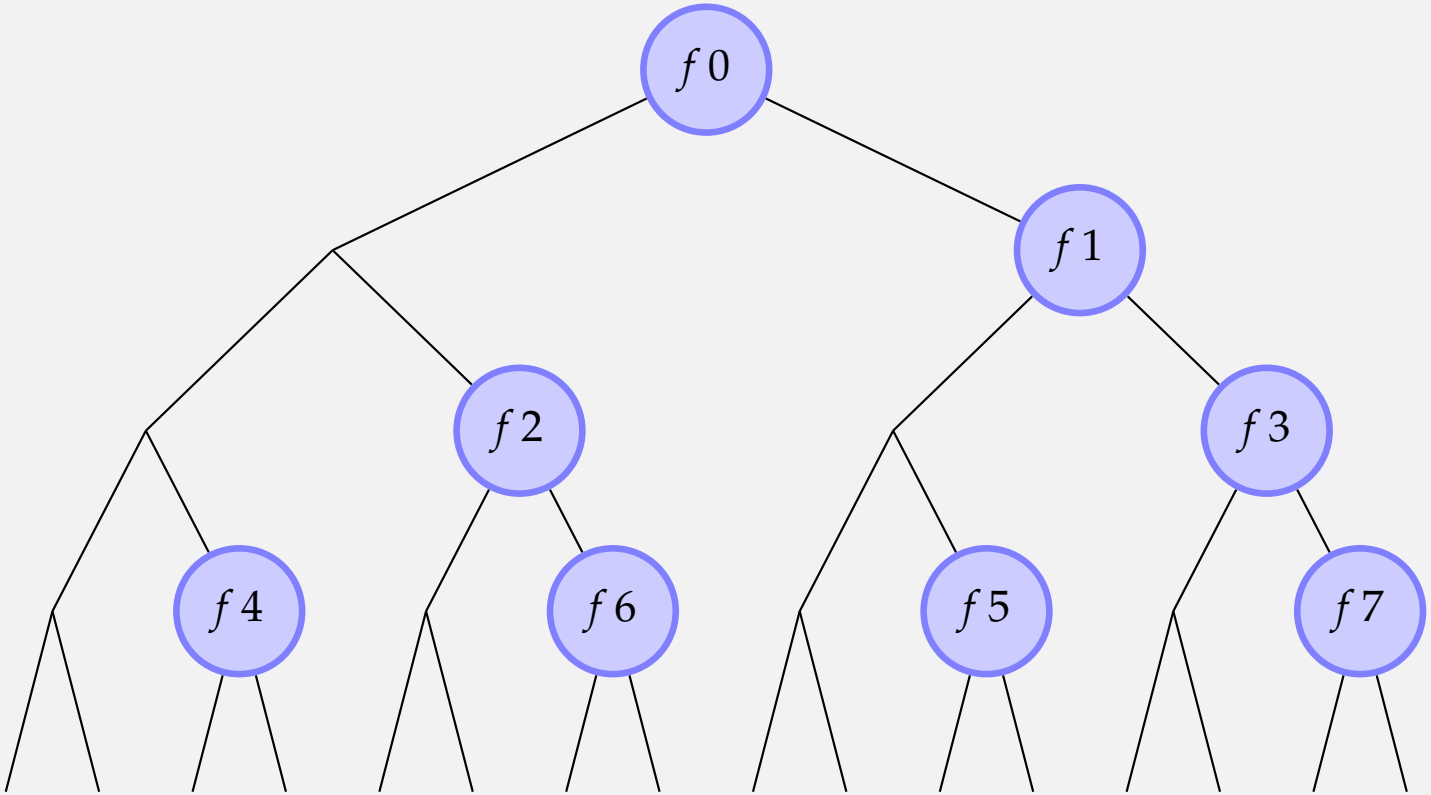
If the key reaches 0, we return the value in the current node.

Searching in a memo tree: example



Searching in a memo tree: example

apply 5



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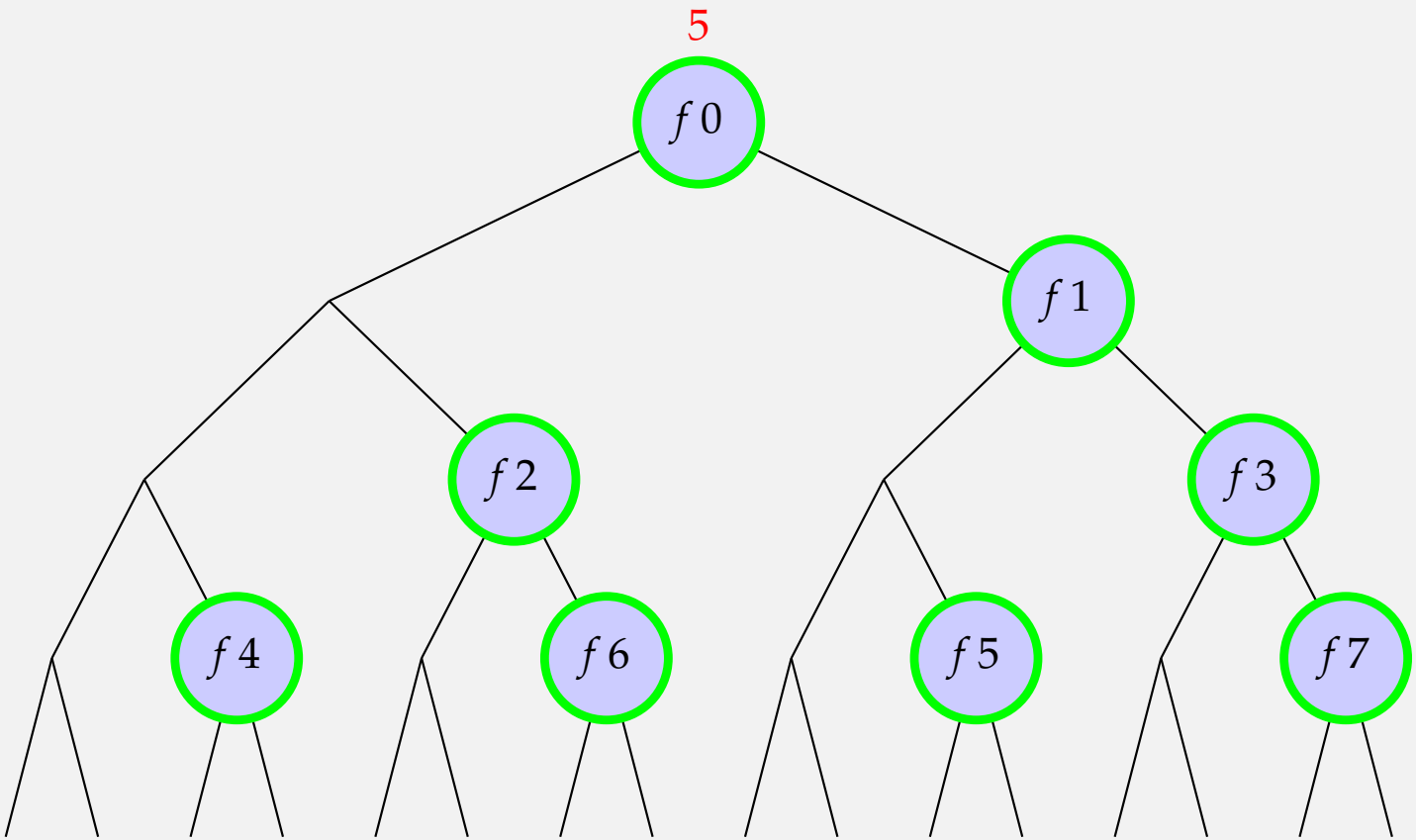

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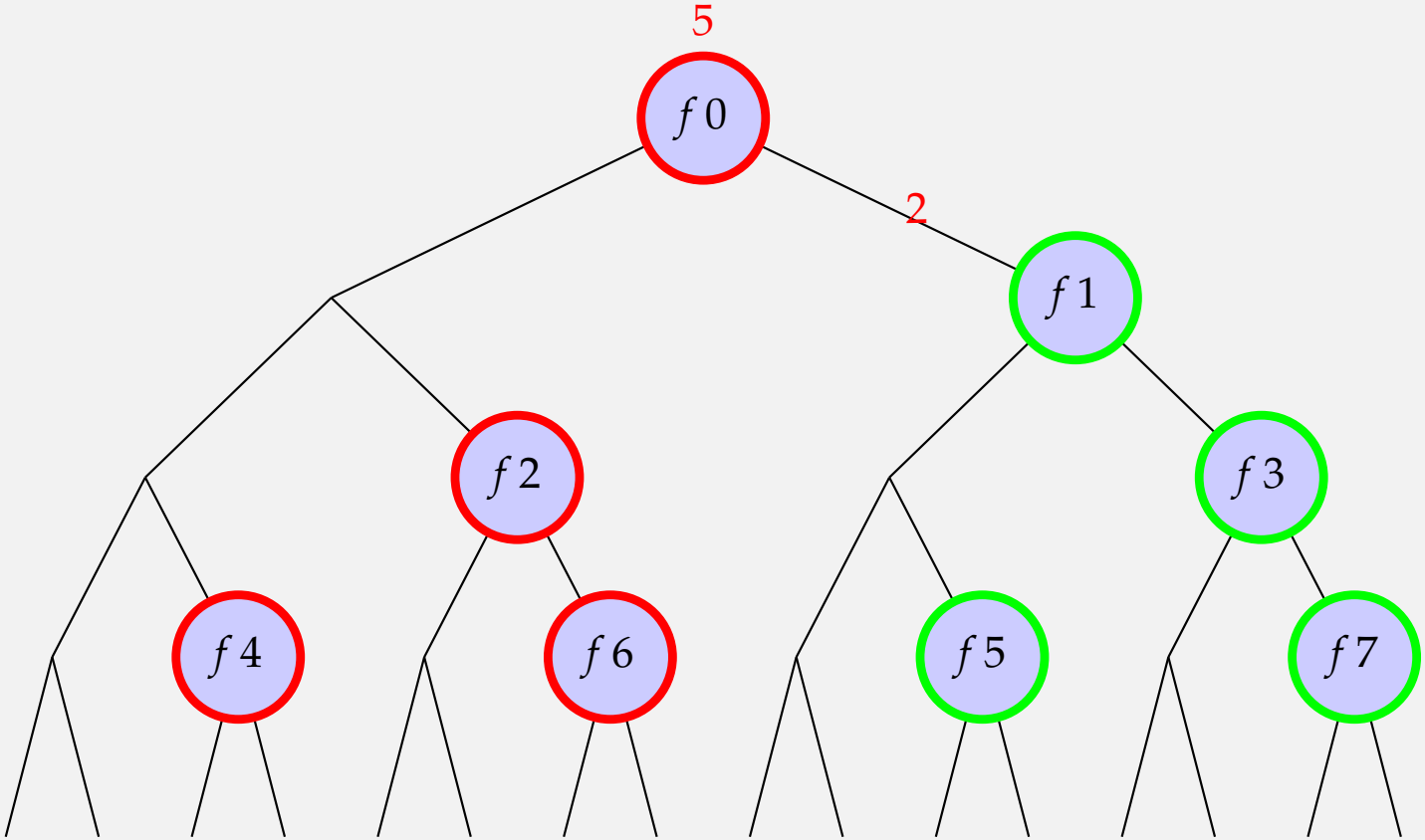

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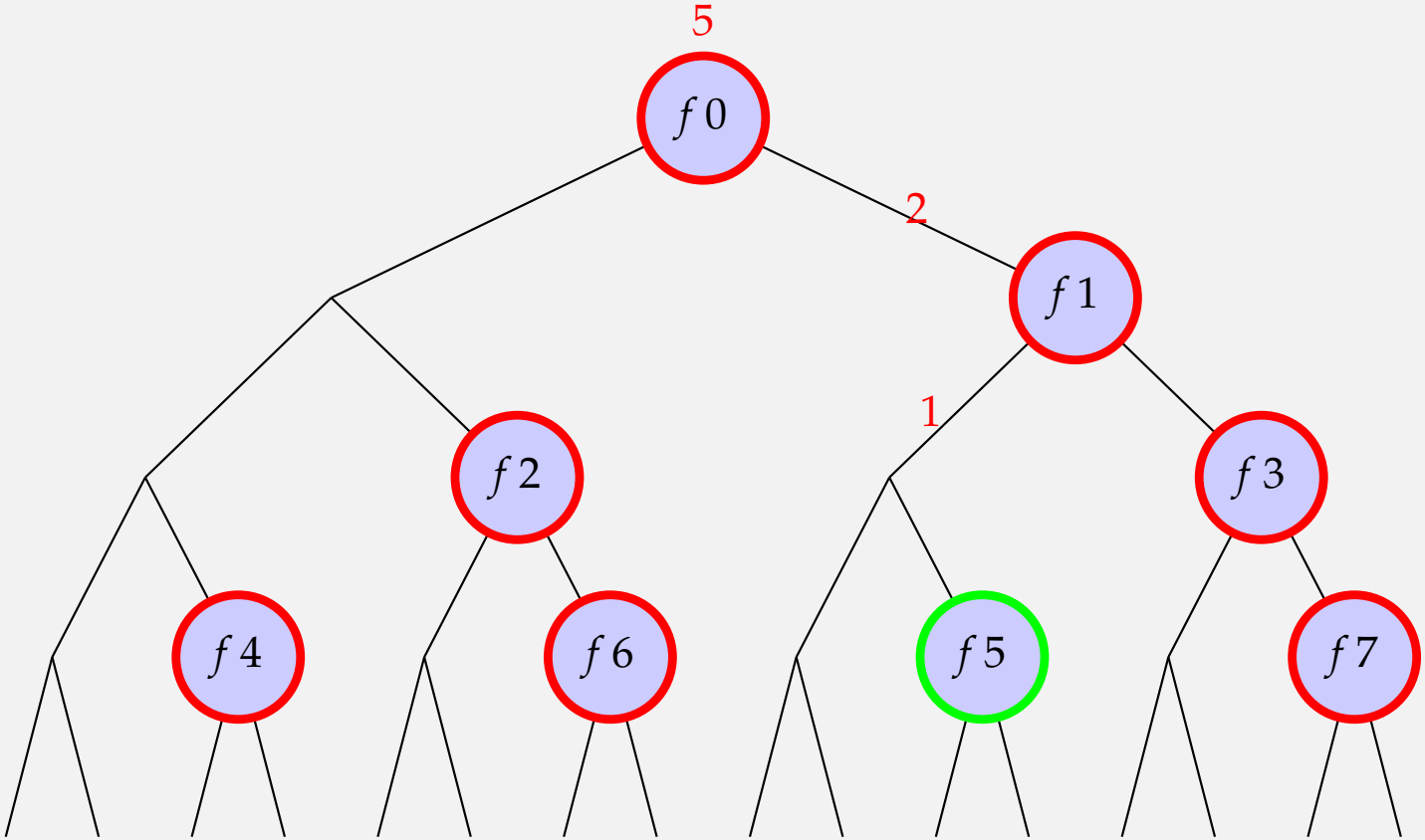

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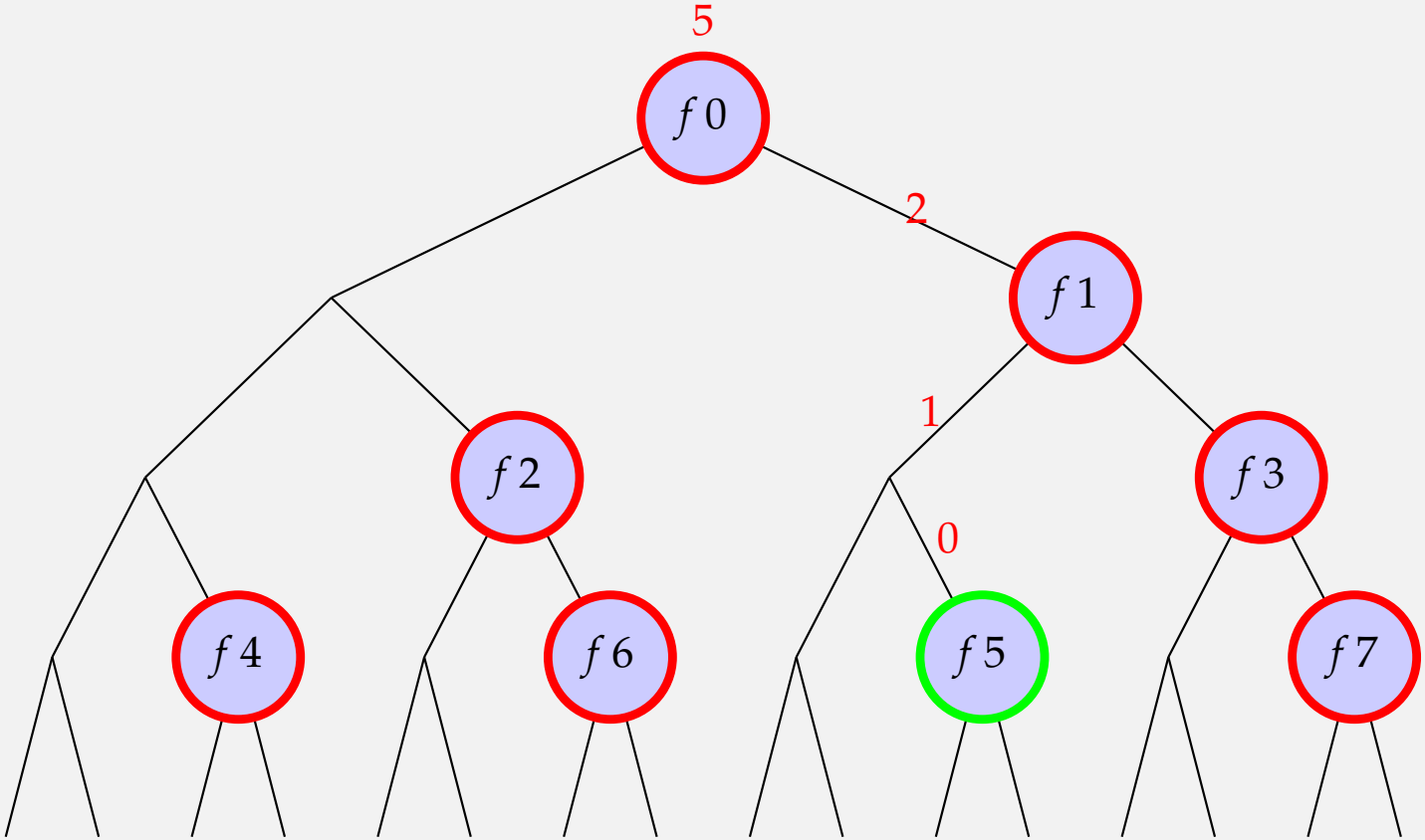

Memo combinator (unchanged)

The definition of *memo* is independent of the table representation:

$$
\begin{array}{l}
memo :: ((Integer \to a) \to Integer \to a) \to Integer \to a \\
memo \quad f' = f \\
\quad \textbf{where} \\
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Fibonacci sequence using memo trees

```
fib :: Integer→Integer
fib = memo fib'
  where
    fib' f 0 = 0
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    fib' f n = f (n − 2) + f (n − 1)
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Memo trees: time and memory usage

```
Main >
```

Memo trees: time and memory usage

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Main >  fib 5000
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Main > fib 5000
387896845438832563370191630832590531208212771 4...
0.02 secs, 532752 bytes
```

Conclusions

- More efficiënt table structure requires some programming effort, but is a 'one-time investment'.
- Choice of data structure is invisible to user of the library.
- Only thing required from the user: making the recursion explicit.